

Appendix C – §§4B1.1, 4B1.2, 11/1/09

§4B1.1. CAREER OFFENDER

(a) A defendant is a career offender if (1) the defendant was at least eighteen years old at the time the defendant committed the instant offense of conviction; (2) the instant offense of conviction is a felony that is either a crime of violence or a controlled substance offense; and (3) the defendant has at least two prior felony convictions of either a crime of violence or a controlled substance offense.

(b) Except as provided in subsection (c), if the offense level for a career offender from the table in this subsection is greater than the offense level otherwise applicable, the offense level from the table in this subsection shall apply. A career offender's criminal history category in every case under this subsection shall be Category VI.

<u>Offense</u>	<u>Statutory Maximum</u>	<u>Offense Level</u> *
(A)	Life	37
(B)	25 years or more	34
(C)	20 years or more, but less than 25 years	32
(D)	15 years or more, but less than 20 years	29
(E)	10 years or more, but less than 15 years	24
(F)	5 years or more, but less than 10 years	17
(G)	More than 1 year, but less than 5 years	12.

* If an adjustment from §3E1.1 (Acceptance of Responsibility) applies, decrease the offense level by the number of levels corresponding to that adjustment.

(c) If the defendant is convicted of [18 U.S.C. § 924\(c\)](#) or [§ 929\(a\)](#), and the defendant is determined to be a career offender under subsection (a), the applicable guideline range shall be determined as follows:

(1) If the only count of conviction is [18 U.S.C. § 924\(c\)](#) or [§ 929\(a\)](#), the applicable guideline range shall be determined using the table in subsection (c)(3).

(2) In the case of multiple counts of conviction in which at least one of the counts is a conviction other than a conviction for [18 U.S.C. § 924\(c\)](#) or [§ 929\(a\)](#), the guideline range shall be the greater of--

(A) the guideline range that results by adding the mandatory minimum consecutive penalty required by the [18 U.S.C. § 924\(c\)](#) or [§ 929\(a\)](#) count(s) to the minimum and the maximum of the otherwise applicable guideline range determined for the count(s) of conviction other than the [18 U.S.C. § 924\(c\)](#) or [§ 929\(a\)](#) count(s); and

(B) the guideline range determined using the table in subsection (c)(3).

(3) Career Offender Table for [18 U.S.C. § 924\(c\)](#) or [§ 929\(a\)](#) Offenders

<u>§3E1.1 Reduction</u>	<u>Guideline Range for the 18 U.S.C. § 924(c) or § 929(a)</u> <u>Count(s)</u>
No reduction	360-life
2-level reduction	292-365
3-level reduction	262-327.

Commentary

Application Notes:

1. "Crime of violence," "controlled substance offense," and "two prior felony convictions" are defined in [§4B1.2](#).

2. "Offense Statutory Maximum," for the purposes of this guideline, refers to the maximum term of imprisonment authorized for the offense of conviction that is a crime of violence or controlled substance offense, including any increase in that maximum term under a sentencing enhancement provision that applies because of the defendant's prior criminal record (such sentencing enhancement provisions are contained, for example, in [21 U.S.C. § 841\(b\)\(1\)\(A\)](#), (B), (C), and (D)). For example, in a case in which the statutory maximum term of imprisonment under [21 U.S.C. § 841\(b\)\(1\)\(C\)](#) is increased from twenty years to thirty years because the defendant has one or more qualifying prior drug convictions, the "Offense Statutory Maximum" for that defendant for the purposes of this guideline is thirty years and not twenty years. If more than one count of conviction is of a crime of violence or controlled substance offense, use the maximum authorized term of imprisonment for the count that has the greatest offense statutory maximum.

3. Application of Subsection (c).--

(A) In General.--Subsection (c) applies in any case in which the defendant (i) was convicted of violating [18 U.S.C. § 924\(c\)](#) or [§ 929\(a\)](#); and (ii) as a result of that conviction (alone or in addition to another offense of conviction), is determined to be a career offender under [§4B1.1\(a\)](#).

(B) Subsection (c)(2).--To determine the greater guideline range under subsection (c)(2), the court shall use the guideline range with the highest minimum term of imprisonment.

(C) "Otherwise Applicable Guideline Range".--For purposes of subsection (c)(2)(A), "otherwise applicable guideline range" for the count(s) of conviction other than the [18 U.S.C. § 924\(c\)](#) or [18 U.S.C. § 929\(a\)](#) count(s) is determined as follows:

(i) If the count(s) of conviction other than the [18 U.S.C. § 924\(c\)](#) or [18 U.S.C. § 929\(a\)](#) count(s) does not qualify the defendant as a career offender, the otherwise applicable guideline range for that count(s) is the guideline range determined using: (I) the Chapter Two and Three offense level for that count(s); and (II) the appropriate criminal history category determined under [§§4A1.1](#) (Criminal History Category) and 4A1.2 (Definitions and Instructions for Computing Criminal History).

(ii) If the count(s) of conviction other than the [18 U.S.C. § 924\(c\)](#) or [18 U.S.C. § 929\(a\)](#) count(s) qualifies the defendant as a career offender, the otherwise applicable guideline range for that count(s) is the guideline range determined for that count(s) under [§4B1.1\(a\) and \(b\)](#).

(D) Imposition of Consecutive Term of Imprisonment.--In a case involving multiple counts, the sentence shall be imposed according to the rules in subsection (e) of [§5G1.2](#) (Sentencing on Multiple Counts of Conviction).

(E) Example.--The following example illustrates the application of subsection (c)(2) in a multiple count situation:

The defendant is convicted of one count of violating [18 U.S.C. § 924\(c\)](#) for possessing a firearm in furtherance of a drug trafficking offense (5 year mandatory minimum), and one count of violating [21 U.S.C. § 841\(b\)\(1\)\(B\)](#) (5 year mandatory minimum, 40 year statutory maximum). Applying subsection (c)(2)(A), the court determines that the drug count (without regard to the [18 U.S.C. § 924\(c\)](#) count) qualifies the defendant as a career offender under [§4B1.1\(a\)](#). Under [§4B1.1\(a\)](#), the otherwise applicable guideline range for the drug count is 188-235 months (using offense level 34 (because the statutory maximum for the drug count is 40 years), minus 3 levels for acceptance of responsibility, and criminal history category VI). The court adds 60 months (the minimum required by [18 U.S.C. § 924\(c\)](#)) to the minimum and the maximum of that range, resulting in a guideline range of 248-295 months. Applying subsection (c)(2)(B), the court then determines the career offender guideline range from the table in subsection (c)(3) is 262-327 months. The range with the greatest minimum, 262-327 months, is used to impose the sentence in accordance with [§5G1.2\(e\)](#).

Background: [Section 994\(h\) of Title 28, United States Code](#), mandates that the Commission assure that certain "career" offenders receive a sentence of imprisonment "at or near the maximum term authorized." [Section 4B1.1](#) implements this directive, with the definition of a career offender tracking in large part the criteria set forth in [28 U.S.C. § 994\(h\)](#). However, in accord with its general guideline promulgation authority under [28 U.S.C. § 994\(a\)-\(f\)](#), and its amendment authority under [28 U.S.C. § 994\(o\)](#) and (p), the Commission has modified this definition in several respects to focus more precisely on the class of recidivist offenders for whom a lengthy

term of imprisonment is appropriate and to avoid "unwarranted sentencing disparities among defendants with similar records who have been found guilty of similar criminal conduct" [28 U.S.C. § 991\(b\)\(1\)\(B\)](#). The Commission's refinement of this definition over time is consistent with Congress's choice of a directive to the Commission rather than a mandatory minimum sentencing statute ("The [Senate Judiciary] Committee believes that such a directive to the Commission will be more effective; the guidelines development process can assure consistent and rational implementation for the Committee's view that substantial prison terms should be imposed on repeat violent offenders and repeat drug traffickers." S. Rep. No. 225, 98th Cong., 1st Sess. 175 (1983)).

Subsection (c) provides rules for determining the sentence for career offenders who have been convicted of [18 U.S.C. § 924\(c\)](#) or [§ 929\(a\)](#). The Career Offender Table in subsection (c)(3) provides a sentence at or near the statutory maximum for these offenders by using guideline ranges that correspond to criminal history category VI and offense level 37 (assuming §3E.1.1 (Acceptance of Responsibility) does not apply), offense level 35 (assuming a 2- level reduction under §3E.1.1 applies), and offense level 34 (assuming a 3- level reduction under [§3E1.1](#) applies).

Historical Note: Effective November 1, 1987. Amended effective January 15, 1988 (see Appendix C, amendments 47 and 48); November 1, 1989 (see Appendix C, amendments 266 and 267); November 1, 1992 (see Appendix C, amendment 459); November 1, 1994 (see Appendix C, amendment 506); November 1, 1995 (see Appendix C, amendment 528); November 1, 1997 (see Appendix C, amendments 546 and 567); November 1, 2002 (see Appendix C, amendment 642).

U.S.S.G. § 4B1.2 (11/1/07)

§4B1.2. DEFINITIONS OF TERMS USED IN SECTION 4B1.1

(a) The term "crime of violence" means any offense under federal or state law, punishable by imprisonment for a term exceeding one year, that --

(1) has as an element the use, attempted use, or threatened use of physical force against the person of another, or

(2) is burglary of a dwelling, arson, or extortion, involves use of explosives, or otherwise involves conduct that presents a serious potential risk of physical injury to another.

(b) The term "controlled substance offense" means an offense under federal or state law, punishable by imprisonment for a term exceeding one year, that prohibits the manufacture, import, export, distribution, or dispensing of a controlled substance (or a counterfeit substance) or the possession of a controlled substance (or a counterfeit substance) with intent to manufacture, import, export, distribute, or dispense.

(c) The term "two prior felony convictions" means (1) the defendant committed the instant offense of conviction subsequent to sustaining at least two felony convictions of either a crime of violence or a controlled substance offense (i.e., two felony convictions of a crime of violence, two felony convictions of a controlled substance offense, or one felony conviction of a crime of violence and one felony conviction of a controlled substance offense), and (2) the sentences for at least two of the aforementioned felony convictions are counted separately under the provisions of [§4A1.1\(a\), \(b\), or \(c\)](#). The date that a defendant sustained a conviction shall be the date that the guilt of the defendant has been established, whether by guilty plea, trial, or plea of nolo contendere.

Commentary

Application Notes:

1. For purposes of this guideline—

"Crime of violence" and "controlled substance offense" include the offenses of aiding and abetting, conspiring, and attempting to commit such offenses.

"Crime of violence" includes murder, manslaughter, kidnapping, aggravated assault, forcible sex offenses, robbery, arson, extortion, extortionate extension of credit, and burglary of a dwelling. Other offenses are included as "crimes of violence" if (A) that offense has as an element the use, attempted use, or threatened use of physical force against the person of another, or (B) the conduct set forth (i.e., expressly charged) in the count of which the defendant was convicted involved use of explosives (including any explosive material or destructive device) or, by its nature, presented a serious potential risk of physical injury to another.

"Crime of violence" does not include the offense of unlawful possession of a firearm by a felon, unless the possession was of a firearm described in [26 U.S.C. § 5845\(a\)](#). Where the instant offense of conviction is the unlawful possession of a firearm by a felon, [§2K2.1](#) (Unlawful Receipt, Possession, or Transportation of Firearms or Ammunition; Prohibited Transactions Involving Firearms or Ammunition) provides an increase in offense level if the defendant had one or more prior felony convictions for a crime of violence or controlled substance offense; and, if the defendant is sentenced under the provisions of [18 U.S.C. § 924\(e\)](#), [§4B1.4](#) (Armed Career Criminal) will apply.

Unlawfully possessing a listed chemical with intent to manufacture a controlled substance ([21 U.S.C. § 841\(c\)\(1\)](#)) is a "controlled substance offense."

Unlawfully possessing a firearm described in [26 U.S.C. § 5845\(a\)](#) (e.g., a sawed-off shotgun or sawed-off rifle, silencer, bomb, or machine gun) is a "crime of violence".

Unlawfully possessing a prohibited flask or equipment with intent to manufacture a controlled substance ([21 U.S.C. § 843\(a\)\(6\)](#)) is a "controlled substance offense."

Maintaining any place for the purpose of facilitating a drug offense ([21 U.S.C. § 856](#)) is a "controlled substance offense" if the offense of conviction established that the underlying offense (the offense facilitated) was a "controlled substance offense."

Using a communications facility in committing, causing, or facilitating a drug offense ([21 U.S.C. § 843\(b\)](#)) is a "controlled substance offense" if the offense of conviction established that the underlying offense (the offense committed, caused, or facilitated) was a "controlled substance offense."

A violation of [18 U.S.C. § 924\(c\)](#) or [§ 929\(a\)](#) is a "crime of violence" or a "controlled substance offense" if the offense of conviction established that the underlying offense was a "crime of violence" or a "controlled substance offense". (Note that in the case of a prior [18 U.S.C. § 924\(c\)](#) or [§ 929\(a\)](#) conviction, if the defendant also was convicted of the underlying offense, the sentences for the two prior convictions will be counted as a single sentence under [§4A1.2](#) (Definitions and Instructions for Computing Criminal History).)

"Prior felony conviction" means a prior adult federal or state conviction for an offense punishable by death or imprisonment for a term exceeding one year, regardless of whether such offense is specifically designated as a felony and regardless of the actual sentence imposed. A conviction for an offense committed at age eighteen or older is an adult conviction. A conviction for an offense committed prior to age eighteen is an adult conviction if it is classified as an adult conviction under the laws of the jurisdiction in which the defendant was convicted (e.g., a federal conviction for an offense committed prior to the defendant's eighteenth birthday is an adult conviction if the defendant was expressly proceeded against as an adult).

2. [Section 4B1.1](#) (Career Offender) expressly provides that the instant and prior offenses must be crimes of violence or controlled substance offenses of which the defendant was convicted. Therefore, in determining whether an offense is a crime of violence or controlled substance for the purposes of [§4B1.1](#) (Career Offender), the offense of conviction (i.e., the conduct of which the defendant was convicted) is the focus of inquiry.

3. The provisions of [§4A1.2](#) (Definitions and Instructions for Computing Criminal History) are applicable to the counting of convictions under [§4B1.1](#).

Historical Note: Effective November 1, 1987. Amended effective January 15, 1988 (see Appendix C, amendment 49); November 1, 1989 (see Appendix C, amendment 268); November 1, 1991 (see Appendix C, amendment 433); November 1, 1992 (see Appendix C, amendment 461); November 1, 1995 (see Appendix C, amendment 528); November 1, 1997 (see Appendix C, amendments 546 and 568); November 1, 2000 (see Appendix C,

amendment 600); November 1, 2002 (see Appendix C, amendments 642 and 646); November 1, 2004 (see Appendix C, amendment 674); November 1, 2007 (see Appendix C, amendment 709).