

Reading, Interpreting and Using BOP Records

This memo was prepared by Amy Baron-Evans and Todd Bussert, with the invaluable advice of Hank Sadowski, retired BOP Regional Counsel for the Northeast Region.

I. BOP Records

A. BOP Consent Form

The BOP consent form, which you will have the client sign and return to you, lists the following:

1. Judgment and Commitment Order
2. Most recent Progress Report
3. SENTRY PPPI (Sentencing Information)
4. SENTRY PD15 (Disciplinary Information)
5. SENTRY PP44 (Inmate Profile Information, including housing, education, work assignments, etc.)
6. SENTRY PIDF (Inmate Financial Information, including restitution information)
7. Medical Records (Only if necessary; Office of Pardon Attorney does not require in all cases)
8. Information concerning a request for a “Compassionate Release” Reduction in Sentence (pursuant to 18 U.S.C. § 3582(c)(1)), if any (e.g. Inmate request, approval or denial memorandum provided to inmate, relevant administrative remedy).
9. Other records (please identify specifically)

These documents are necessary to answer certain questions related to the criteria announced by DOJ, as explained below. In addition, the Office of the Pardon Attorney requires the first six items to be submitted in every case. For how to obtain the Statement of Reasons page of the Judgment and Commitment Order, which the OPA also requires if there was one, but you cannot obtain from BOP, *see* Necessary Documents and How to Obtain Them.

As to items 7 and 8, “if the inmate claims to suffer from a serious medical condition,” the OPA requires (1) a “medical report” to include the inmate’s current condition, treatment the inmate is undergoing, and the inmate’s prognosis; and (2) information about whether the inmate has applied for compassionate release pursuant to 18 U.S.C. § 3582(c)(1) and if so, the status of that request. *See* OPA Checklist, Appendix A to Overall Instructions.

Your client may have made a request for compassionate release under 18 U.S.C. § 3582(c)(1) for reasons other than a serious medical condition. In the past, the BOP took a very narrow view of requests for compassionate release. After an unfavorable report from the Office of the Inspector General, the BOP expanded its criteria in 2013 to include terminal medical condition, debilitated medical condition, elderly status, death or incapacitation of the family caregiver of the inmate’s child, and incapacitation of a spouse or registered partner. *See* Program Statement 5050.49, http://www.bop.gov/policy/progstat/5050_049.pdf. If a request has been made for *any* reason, you should obtain it from BOP, and include it in your submission to OPA.

B. “Other” Forms & Documents

Other forms and documents that will be necessary or helpful in many cases, as explained below, include:

- BP-A0761, Residential Drug Abuse Program Notice to Inmate
- Inmate Skills Development Plan, also known as the Program Review;
- Custody Classification Form;
- Mental Health Records;
- Discipline Hearing Officer (DHO) reports;
- Unit Disciplinary Committee (UDC) reports; and
- Older progress reports if needed, *see* 28 C.F.R. § 524.43 (“Upon request, an inmate may read and receive a copy of any progress report retained in the inmate's central file which had been prepared on that inmate after October 15, 1974.”).

We have been told that BOP personnel have been instructed not to weigh in on individual clemency petitions. Therefore, in general, you should rely on the records rather than contacting BOP staff or officials asking for their perspectives on a given applicant. However, we are aware of cases in which BOP staff or officials have offered to write letters of support.

II. Clemency Criteria

BOP records are relevant to the criteria highlighted in bold.

- Are currently serving a federal sentence in prison and, by operation of law, likely would have received a substantially lower sentence if convicted of the same offense(s) today;
- Are non-violent, low-level offenders **without significant ties to large-scale criminal organizations, gangs, or cartels**;
- **Have served at least 10 years of their sentence**;
- Do not have a significant criminal history;
- **Have demonstrated good conduct in prison**; and
- **Have no history of violence** prior to or **during their current term of imprisonment**.

III. Served At Least 10 Years

As explained in the Overall Instructions, Part III (1), there will be cases in which it appears that the client has not served 10 calendar years, but you can argue that he will reach 10 years with one or more of the following:

- Time up to Jan. 20, 2017
- Good Conduct Time Credit – 47 days/year of sentence imposed

- Residential Drug Abuse Prevention Treatment Program (RDAP) reduction –12 months
- Time served in state custody not reflected in the aggregated “Time Served” figure in the PPPI, *see* below

The judgment and commitment order, and any order or amended judgment subsequently modifying the sentence imposed, will show the sentence the applicant is currently serving. The judgment and commitment order should also include in the Imprisonment section or the Statement of Reasons page any order by the federal judge that the federal sentence run concurrent with a state sentence. The judge may have failed to indicate the concurrency determination in the Judgment and Commitment Order or the Statement of Reasons page, so you should consult the transcript of the sentencing hearing for any indication of a concurrency order.

The Progress Report should specify the sentence imposed or as modified; the date the sentence “commenced”¹; time served in a BOP facility as of the date of the progress report; jail credit (also called “credit for prior custody” or “prior credit time”)²; and Good Conduct Time (GCT) credit earned or lost as of the date of the progress report.

The Sentry PPPI, a sample of which is attached as Appendix A4, shows BOP’s “Time Served” in years, months and days on p. 006. The Sentry PSCD, three samples of which are attached as Appendices A1, A2 and A3, shows BOP’s “Time Served” on page 005. You will be requesting and receiving the PPPI, *not* the PSCD. But the PPPI and the PSCD contain the same information. We include all four samples to illustrate different situations.

The “Time Served” number of years, months and days aggregates without differentiation:

- Pre-sentencing time served (after arrest), also known as “jail credit,” “prior credit time,” and “credit for prior custody”;
- Time served as a sentenced prisoner in a BOP facility (as of the printout date); and
- Concurrent/*nunc pro tunc* time served in a state facility credited towards the federal sentence.

Good Conduct Time Credit. The “Time Served” figure does not include GCT credit the inmate has earned, but GCT credit earned appears on the same or the next page. In the example at Appendix A1 at p. 005, as of 7-8-2014, the inmate has served 7 years, 3 months, 27 days, and has earned 378 days of GCT credit. In the example at Appendix A4 at pp. 005-006, as of 10-09-2014, the inmate has served 10 years, 1 month, 3 days, and has earned 540 days of GCT credit.

¹ “A sentence to a term of imprisonment commences on the date the defendant is received in custody awaiting transportation to, or arrives voluntarily to commence service of sentence at, the official detention facility at which the sentence is to be served.” 18 U.S.C. § 3585(a).

² This refers to “credit for prior custody,” defined as “any time [the defendant] has spent in official detention prior to the date the sentence commences—(1) as a result of the offense for which the sentence was imposed; or (2) as a result of any other charge for which the defendant was arrested after the commission of the offense for which the sentence was imposed; that has not been credited against another sentence.” 18 U.S.C. § 3585(b). *But see* The Interaction of Federal and State Sentences.

GCT credit accrues at the rate of 47 days per year of sentence imposed. Inmates can lose GCT credit, only for serious incidents (100-200 series violations), of up to 54 days per year, and they can have it restored. Loss and restoration of GCT credit will appear in the PD15 report.

A shortcut for determining GCT credit is to divide time served by .871. To get the most accurate number, use the Good Time Chart, which is elsewhere in your materials and a page of which is attached at Appendix B. Find the number of months the inmate has actually served (or will serve by January 20, 2017) in the far right column. Go across to the far left column to find the number of months the inmate has served (or will serve) including GCT credit, assuming the inmate has earned (and will earn) all of his GCT credit.

RDAP. The “Time Served” figure also does not include any reduction an inmate may receive for participating in the Residential Drug Abuse Prevention and Treatment Program (RDAP).³ The RDAP eligibility requirements are contained in BOP Program Statement 5331.02.⁴ Inmates serving life are not eligible for RDAP because they cannot participate in the final phase, which requires residence in a residential reentry center or home confinement. Inmates serving a term of years are typically determined to be eligible within 36-48 months of their projected release date, though it can be earlier or later (time varies by institution). Once a prisoner is determined to be eligible to participate, BOP re-computes the projected release date based on a tentative reduction of one year. This should be reflected in the PPPI, which will note a “3621(e) adjustment” or something similar. To determine whether the inmate has been determined to be eligible, you can also request the Residential Drug Abuse Program Notice to Inmate, BP-A0761, with the BOP consent form.

State Time. If the client has served time in a state facility that is not reflected in the “Time Served” figure, you may have an argument that that time should count toward time served. *See The Interaction of Federal and State Sentences, Parts I-III.*

Note that you should exercise judgment in making arguments based on GCT credit, RDAP reduction, or state time. Such arguments will be most effective if the applicant has more than a few years left to serve. In this situation, the U.S. Attorney’s support would be helpful.

Examples of How to Interpret the PPPI (based on the attached samples of the PSCD).

Example A1

The inmate was convicted of receipt/distribution of child pornography and sentenced to 151 months (p. 003). He is 73 years old, and “is projected for release: 02-26-2018 via GCT rel” (p. 001), a date that assumes the inmate will earn all of his good time credit. The “date sentenced”

³ See 18 U.S.C. § 3621(e).

⁴ BOP Program Statement 5331.02, Early Release Procedures Under 18 U.S.C. § 3621(e), http://www.bop.gov/policy/progstat/5331_002.pdf. The definition of violent offenses in the program statement is broader than the definition in most statutes and guidelines and that you will use to determine whether the client meets the non-violent offender criterion. Most notably, inmates convicted of possession of a firearm and those convicted of a drug offense who received a guideline increase because a weapon “was possessed” are excluded from RDAP.

was 02-02-2007, the “date committed” was 03-13-2007 (p. 002), and the “date computation began” was also 03-13-2007 (p. 004). The “date computation began” is either the date the defendant “is received in custody awaiting transportation to” the designated facility, or the date the defendant “arrives voluntarily” at the designated facility.⁵ The “date committed” is the date the defendant arrived, whether he was transported in custody or self-reported. In this case, the gap between “date sentenced” and “date computation began” indicates that the inmate self-reported to the facility. Indeed, he voluntarily surrendered to FCI Elkton on 03-13-2007 (p. 006). While most inmates are in custody awaiting transportation to a BOP facility as soon as they are sentenced, the sentencing judge may allow voluntary surrender at a later date, indicating that the person was not considered a threat to public safety or a flight risk.

As of 7-8-2014, the inmate served 7 years, 3 months, 27 days, and earned 378 days of GCT credit out of a total of 592 days projected (p. 005). He has only one day of jail credit (which is included in “Time Served”), meaning that he was released on bail the day he was arrested. The “statutory release date projected” and the “projected satisfaction date” are both 02-26-2018. If the “projected satisfaction date” was later than the “statutory release date projected,” it would indicate that he lost some GCT credit. The “expiration full term date” of 10-11-2019 is the date he would be released if he had no GCT credit.

Example A2

This inmate was sentenced on 11-2-2012 (p. 002) for racketeering conspiracy to 135 months (p. 003). He has a related state sentence, indicated by the notation, “relationship of this obligation to others for the offender: c/c California” (p.003). The “date computation” began is 11-2-2012 (p. 004), the same as the “date sentenced” (p. 002). Because a state sentence was involved, the fact that these dates are the same indicates that the inmate was in primary state custody at the time the federal sentence was imposed, and that the state correctional institution was designated as the location for at least part of the service of the federal sentence in order to effectuate an order of concurrency. *See* The Interaction of Federal and State Sentences.

As of 7-10-14, the inmate has served 2 years, 2 months, and 17 days, which includes 192 days of “prior credit time,” but does not include the 108 days of GCT credit he has earned out of a projected 529 days. His “statutory release date projected” and the “projected satisfaction date” are both 2-10-2022, indicating that he has not lost any GCT credit. *Id.*

Example A3

This inmate was sentenced on 5-3-2000, the computation began on the same date, and he was committed on 5-30-2000 (pp. 002, 004). The offense was conspiracy to possess with intent to distribute and distribution of heroin and crack (p. 003). He was originally sentenced to 360 months, but the court reduced his sentence to 120 months (*id.*)

He was in custody from his arrest on 10-14-1999 up to the date he was sentenced, giving him 202 days “prior credit time.” (pp. 003, 005) He was released on 7-22-2008. (p. 005) As of that date, he had served 8 years, 9 months, and 7 days, which included 202 days of “prior credit time,” but did not include 447 days of GCT credit he earned out of a projected 447 days. *Id.*

⁵ 18 U.S.C. § 3585(a).

With the 202 days “prior credit time” and the 447 days GCT credit, he served exactly 120 months.

Example A4

The inmate was convicted of being a felon in possession of a firearm (p. 003). He was originally sentenced to 188 months, *id.*, but the court corrected his sentence to 180 months (p. 004). He is 57 years old (p. 001), and “is projected for release: 10-01-2017 via GCT rel” (p. 002), a date that assumes he will earn all of his good time credit. The “date sentenced” was 09-17-2004, the “date computation began” was also 09-17-2004, and the “date committed” was 11-10-2004 (pp. 002, 004). The fact that the “date sentenced” and the “date computation began” are the same indicates that he was awaiting transportation to the designated facility as soon as he was sentenced. He arrived there 11-10-2004, the “date committed.”

As of 10-09-2014, the inmate served 10 years, 1 month, 3 days, and earned 540 days of GCT credit out of a total of 705 days projected (pp. 005-006). He has 10 days of jail credit served in four different brief periods (which is included in “Time Served”), meaning that he was out on bail most of the time between arrest and sentencing (p. 005). The “statutory release date projected” and the “projected satisfaction date” are both 10-01-2017 (pp. 005-006), indicating that he has not lost any GCT credit.

IV. Demonstrated Good Conduct In Prison

The SENTRY PP44 lists classes, awards, certificates, and security classification. A sample is attached at Appendix C. The sample, which is for a 73-year-old man, shows little participation in such activities. He was transferred to FMC Lexington, a medical center, and has not been assigned to work for medical reasons. *See* Page 001 & 003. He is under Care Level 1 for Mental Health. *See* Page 002. If it were Care Level 3 or 4, it would mean serious mental health issues, like schizophrenia or a personality disorder. His medical Care Level is 3, “unstable, complex chronic case.” His security classification is low. He declined sex offender treatment. Most lawyers advise against sex offender treatment because inmates can be indefinitely civilly committed based on what they say in treatment.

The Progress Report is important for demonstrating good conduct in prison. It contains an institutional adjustment summary, with:

- Program plans
- Work assignments, including rating of work – if the inmate works in UNICOR, it means BOP staff consider him to be a good inmate
- Educational/vocational programming
- Counseling programs – BOP does not offer much in the way of therapy – consists primarily of classes like parenting, anger management, stress management, criminal thinking, etc.
- Any significant mental or physical health problems
- Financial responsibility – shows whether the inmate has paid his special assessment of \$100 per felony count of conviction (previously \$50 per felony count), any fine or restitution

Also helpful in demonstrating positive institutional adjustment, as well as readiness for release, is the Inmate Skills Development Plan (Program Review). This is more detailed than the Progress Report, and contains some narrative, not just data. The “Response Summary” shows BOP staff’s rating of the inmate (as Attention Required, Mitigating Issues, Unanswered, Satisfactory or Not Applicable) in nine core areas (Academic, Vocational/Career, Interpersonal, Wellness, Mental Health, Cognitive, Character, Leisure, and Daily Living), and the inmate receives a plus or minus on numerous sub-issues within those areas. (The “i” means “informational.”)

As described by the Director of BOP in testimony before the Sentencing Commission on February 16, 2012, the Inmate Skills Development System “includes a comprehensive assessment of inmates’ strengths and deficiencies in nine core areas,” and “this critical information is updated throughout an inmate’s incarceration and is provided to Residential Reentry Centers and supervision agencies as inmates approach their release from prison.”⁶

However, we have heard that the ISDP is being phased out. Very recent ISDPs instruct staff that the “Response Summary” should be disregarded and to utilize only the “Progress and Goals section.” In other words, BOP no longer requires staff to enter all of the information in the “Response Summary,” and only requires information in the “Progress and Goals” section. The “Response Summary” still appears, however, and contains useful information, and the “Progress and Goals” section continues to address the nine core areas.

You may notice in the “Progress and Goals” section that the inmate was to enroll in a specific class or program and a subsequent entry stating that he did not do so. This should not reflect unfavorably on the inmate. At each team meeting, a goal must be identified and agreed to but the inmate may enroll in something else. This is not a problem as long as the inmate is continuing to participate in classes and programs.

V. No History of Violence During Current Term of Imprisonment

In addition to the summary presentation in the Progress Report, information can be obtained from the SENTRY PD15 (Inmate Discipline Data Form), a sample of which is attached at Appendix D, which lists:

- Date of incident;
- Code number(s) violated;
- Incident report number;
- Facility at which incident occurred;
- Date(s) of UDC and DHO hearing(s);
- Sanction(s) imposed, which can include loss (and restoration) of Good Conduct Time (GCT) credit.

⁶http://www.ussc.gov/sites/default/files/pdf/amendment-process/public-hearings-and-meetings/20120216/Testimony_16_BOP_Samuels.pdf.

BOP's Prohibited Acts and Disciplinary Severity Scales are contained in Appendix E (current), Appendix F (12/4/2009), and Appendix G (10/11/2000).

Every incident goes first to the Unit Disciplinary Committee, which can resolve 300 and 400 series violations and sometimes 200 series violations, but cannot take GCT credit or impose disciplinary segregation. The UDC refers all 100 series and most 200 series violations to the Disciplinary Hearing Officer (DHO).

Code 300 and 400 series violations are relatively minor and do not entail the loss of GCT credit. Our sample PD15 shows that the inmate possessed an unauthorized item, severity code 305; he admitted guilt; and the sanction was loss of phone privileges and commissary privileges for 30 days. This was not serious. If, for some reason, a 300-400 series incident appears serious, use the BOP consent form to request the relevant Incident Report/Unit Disciplinary Committee (UDC) Report. *See* Incident Report, Appendix H.

Code 100 and 200 series violations are more serious and often entail the loss of GCT credit. Use the BOP consent form to request the relevant DHO Report for these violations. It will contain the charge, the findings of fact, the evidence relied upon, and the sanctions including loss of GCT credit. *See* DHO Report, Appendix I.

The OPA Checklist states under "Elective Items" that "[i]ncident reports of serious violations of prison regulations should be accompanied by an explanation of events and inmate's role."

VI. Does Not Have Significant Ties to Large Scale Criminal Organizations, Gangs, or Cartels

The BOP gets much information from the presentence investigation report (PSR). The PSR may contain an allegation that the client was involved in a gang. The allegation may be based on nothing more than a stray comment that an agent heard on the street, or hearsay from an informant. The defense lawyer may have objected because it could affect the applicant's custody classification in BOP, but the lawyer may not have objected, or the judge determined that a ruling was unnecessary because it would not affect or would not be considered in sentencing. *See* Fed. R. Crim. P. 32(i)(3)(B).

The Custody Classification Form (*see* Appendix J), which you can request with BOP's consent form, lists Public Safety Factors (PSFs). The "Disruptive Group" factor applies to identified members of five groups: the Aryan Brotherhood, the Mexican Mafia, the Texas Syndicate, the Black Guerilla Family, or the Mexikanemi (Texas Mexican Mafia). The "Greatest Severity Offense" factor applies if the inmate was convicted of any of a list of offenses, including a drug offense involving a specified drug quantity and the "offender was part of an organizational network and he or she organized or maintained ownership interest/profits from large-scale drug activity." *See* Program Statement P5100.08, http://www.bop.gov/policy/progstat/5100_008.pdf. This does not necessarily mean that an inmate was or is part of a gang, but you should be aware of it.

If there is some indication in the PSR or Custody Classification form that the client had “significant ties to a large-scale criminal organization, gang or cartel,” look for evidence in the PP44, the Progress Report, or the Inmate Skills Development Plan of his good conduct, that he has disengaged from the gang, and/or that he has moved to a low or minimum security level. The security level is shown in the PP44.

VII. Release Plan

BOP documents will be useful to demonstrate that the client has engaged in purposeful activity to position himself to be successful after release, or if serving a life sentence, that he has determined to live a productive life nonetheless. The documents will show whether the prisoner has participated in:

- Educational activity (in BOP or online)
- Vocational/skills training that supports post-release employment/stability
- Classes, programs, counseling to address/correct criminal thinking/behavior
- Reentry programming (occurs close to the end of the sentence)
- Satisfaction of financial obligations (\$100 special assessment for each felony count, any fine or restitution)
- Staff’s opinions/insights
- Restoration of Good Conduct Time credit for good behavior following disciplinary incident

If, for example, the documents reflect the inmate’s participation in construction trades training, this will demonstrate that he has developed the abilities useful to acquiring and maintaining post-release employment and pursuit of career goals in the building trades. The probation office may have specific employment contacts in this area and this should be explored. If the client has completed a wide variety of education, work, and training, this will serve as foundational skills to enhance prospects for employment and continued education based on his interests. If BOP documents indicate participation in RDAP, he will have transitional treatment in the halfway house to complete the program. Participation in this and other programs which use cognitive behavioral therapy will help show the prisoner has learned to appropriately correct criminal thinking patterns and behaviors. *See Release Preparation.*

The documents, in particular the SENTRY PIDF (Appendix K), will also show whether the prisoner has met his financial obligations. Check the PD15 to see if any good time that was taken was later restored for good behavior.

APPENDIX A1

PSCD - Out on Bail from Arrest to Sentencing, Self Reported

BOPRC 540.23 *
PAGE 001 *

SENTENCE MONITORING
COMPUTATION DATA
AS OF 07-08-2014

* 07-08-2014
* 07:46:48

REGNO...:
COMP NO: 010
FUNC...: DIS

NAME: ██████████
ALL CURR COMPS(Y/N): Y ALL PRIOR COMPS(Y/N): Y

FBI NO.....:
ARS1.....: LEX/A-DES
UNIT.....: HCU M
DETAINERS.....: NO

DATE OF BIRTH: AGE: 73
QUARTERS.....: H04-320LH
NOTIFICATIONS: NO

HOME DETENTION ELIGIBILITY DATE: 08-26-2017

THE FOLLOWING SENTENCE DATA IS FOR THE INMATE'S CURRENT COMMITMENT.
THE INMATE IS PROJECTED FOR RELEASE: 02-26-2018 VIA GCT REL

G0002 MORE PAGES TO FOLLOW . . .

BOPRC 540.23 *
PAGE 002 *

SENTENCE MONITORING
COMPUTATION DATA
AS OF 07-08-2014

* 07-08-2014
* 07:46:48

REGNO..: ██████████ NAME:

COMP NO: 010 ALL CURR COMPS(Y/N): Y ALL PRIOR COMPS(Y/N): Y

FUNC...: DIS

-----CURRENT JUDGMENT/WARRANT NO: 010 -----

COURT OF JURISDICTION.....: OHIO, NORTHERN DISTRICT
DOCKET NUMBER.....: ██████████
JUDGE.....: O'MALLEY
DATE SENTENCED/PROBATION IMPOSED: 02-02-2007
DATE COMMITTED.....: 03-13-2007
HOW COMMITTED.....: US DISTRICT COURT COMMITMENT
PROBATION IMPOSED.....: NO

	FELONY ASSESS	MISDMNR ASSESS	FINES	COSTS
NON-COMMITTED.:	\$100.00	\$00.00	\$00.00	\$00.00

RESTITUTION...: PROPERTY: NO SERVICES: NO AMOUNT: \$00.00

G0002 MORE PAGES TO FOLLOW . . .

BOPRC 540.23 *
PAGE 003 *

SENTENCE MONITORING
COMPUTATION DATA
AS OF 07-08-2014

* 07-08-2014
* 07:46:48

REGNO..: ██████████ NAME: ██████████
COMP NO: 010 ALL CURR COMPS(Y/N): Y ALL PRIOR COMPS(Y/N): Y
FUNC...: DIS

-----CURRENT OBLIGATION NO: 010 -----

OFFENSE CODE....: 512
OFF/CHG: 18:2252(A)(2) RECEIPT/DISTRIBUTION OF CHILD PORNOGRAPHY

SENTENCE PROCEDURE.....: 3559 PLRA SENTENCE
SENTENCE IMPOSED/TIME TO SERVE.: 151 MONTHS
TERM OF SUPERVISION.....: 3 YEARS
DATE OF OFFENSE.....: 02-23-2005

G0002 MORE PAGES TO FOLLOW . . .

BOPRC 540.23 *
PAGE 004 *

SENTENCE MONITORING
COMPUTATION DATA
AS OF 07-08-2014

* 07-08-2014
* 07:46:48

REGNO...: ██████████ NAME: ██████████
COMP NO: 010 ALL CURR COMPS(Y/N): ALL PRIOR COMPS(Y/N):
FUNC...: DIS

-----CURRENT COMPUTATION NO: 010 -----

COMPUTATION 010 WAS LAST UPDATED ON 03-15-2007 AT DSC AUTOMATICALLY
COMPUTATION CERTIFIED ON 04-21-2007 BY DESIG/SENTENCE COMPUTATION CTR

THE FOLLOWING JUDGMENTS, WARRANTS AND OBLIGATIONS ARE INCLUDED IN
CURRENT COMPUTATION 010: 010 010

DATE COMPUTATION BEGAN.....: 03-13-2007
TOTAL TERM IN EFFECT.....: 151 MONTHS
TOTAL TERM IN EFFECT CONVERTED...: 12 YEARS 7 MONTHS
EARLIEST DATE OF OFFENSE.....: 02-23-2005

G0002 MORE PAGES TO FOLLOW . . .

BOPRC 540.23 *
PAGE 005 *

SENTENCE MONITORING
COMPUTATION DATA
AS OF 07-08-2014

* 07-08-2014
* 07:46:48

REGNO...: ██████████ NAME: I ██████████
COMP NO: 010 ALL CURR COMPS(Y/N): ALL PRIOR COMPS(Y/N):
FUNC...: DIS

JAIL CREDIT.....: FROM DATE THRU DATE
08-23-2006 08-23-2006

TOTAL PRIOR CREDIT TIME.....: 1
TOTAL INOPERATIVE TIME.....: 0
TOTAL GCT EARNED AND PROJECTED...: 592
TOTAL GCT EARNED.....: 378
STATUTORY RELEASE DATE PROJECTED: 02-26-2018
EXPIRATION FULL TERM DATE.....: 10-11-2019
TIME SERVED.....: 7 YEARS 3 MONTHS 27 DAYS
PERCENTAGE OF FULL TERM SERVED...: 58.2

PROJECTED SATISFACTION DATE.....: 02-26-2018
PROJECTED SATISFACTION METHOD....: GCT REL

G0002 MORE PAGES TO FOLLOW . . .

BOPRC 540.23 *
PAGE 006 OF 006 *

SENTENCE MONITORING
COMPUTATION DATA
AS OF 07-08-2014

* 07-08-2014
* 07:46:48

REGNO...: ██████████ NAME: ██████████
COMP NO: 010 ALL CURR COMPS(Y/N): ALL PRIOR COMPS(Y/N):
FUNC....: DIS

REMARKS.....: V/S TO FCI ELK ON 03-13-2007

S0055 NO PRIOR SENTENCE DATA EXISTS FOR THIS INMATE

APPENDIX A2

PSCD - Concurrent State Sentence - Nunc Pro Tunc Designation of State Facility

BOPRC 540.23 * SENTENCE MONITORING * 07-10-2014
 PAGE 001 * COMPUTATION DATA * 11:21:31
 AS OF 07-10-2014

REGNO..: NAME:
 COMP NO: 010 ALL CURR COMPS(Y/N): ALL PRIOR COMPS(Y/N):
 FUNC....: DIS

FBI NO.....: DATE OF BIRTH: AGE:
 ARS1.....: P13/A-ADMIT 11
 UNIT.....: QUARTERS.....:
 DETAINERS.....: NO NOTIFICATIONS: NO

HOME DETENTION ELIGIBILITY DATE: 08-10-2021

THE FOLLOWING SENTENCE DATA IS FOR THE INMATE'S CURRENT COMMITMENT.
 THE INMATE IS PROJECTED FOR RELEASE: 02-10-2022 VIA GCT REL

G0002 MORE PAGES TO FOLLOW . . .

BOPRC 540.23 *
PAGE 002 *

SENTENCE MONITORING
COMPUTATION DATA
AS OF 07-10-2014

* 07-10-2014
* 14:58:31

REGNO...: ME:
COMP NO: 010 ALL CURR COMPS(Y/N): Y ALL PRIOR COMPS(Y/N): Y
FUNC...: DIS

-----CURRENT JUDGMENT/WARRANT NO: 010 -----

COURT OF JURISDICTION.....:
DOCKET NUMBER.....:
JUDGE.....:
DATE SENTENCED/PROBATION IMPOSED: 11-02-2012
HOW COMMITTED.....: US DISTRICT COURT COMMITMENT
PROBATION IMPOSED.....: NO

	FELONY ASSESS	MISDMNR ASSESS	FINES	COSTS
NON-COMMITTED.:	\$100.00	\$00.00	\$00.00	\$00.00
RESTITUTION...:	PROPERTY: NO	SERVICES: NO	AMOUNT:	\$00.00

G0002 MORE PAGES TO FOLLOW . . .

BOPRC 540.23 *
PAGE 003 *

SENTENCE MONITORING
COMPUTATION DATA
AS OF 07-10-2014

* 07-10-2014
* 11:21:31

REGNO...: AME:
COMP NO: 010 ALL CURR COMPS(Y/N): ALL PRIOR COMPS(Y/N):
FUNC....: DIS

-----CURRENT OBLIGATION NO: 010 -----

OFFENSE CODE.....: 545
OFF/CHG: 18:1962(D) RACKETEERING CONSPIRACY

SENTENCE PROCEDURE.....: 3559 PLRA SENTENCE
SENTENCE IMPOSED/TIME TO SERVE.: 135 MONTHS
TERM OF SUPERVISION.....: 5 YEARS
RELATIONSHIP OF THIS OBLIGATION
TO OTHERS FOR THE OFFENDER.....: C/C CALIFORNIA
DATE OF OFFENSE.....: 04-24-2012

G0002 MORE PAGES TO FOLLOW . . .

BOPRC 540.23 *	SENTENCE MONITORING	*	07-10-2014
PAGE 004 *	COMPUTATION DATA	*	11:21:31
	AS OF 07-10-2014		

REGNO...: _____ NAME:
 COMP NO: 010 ALL CURR COMPS(Y/N): ALL PRIOR COMPS(Y/N):
 FUNC...: DIS

-----CURRENT COMPUTATION NO: 010 -----

COMPUTATION 010 WAS LAST UPDATED ON 07-18-2013 AT DSC AUTOMATICALLY
 COMPUTATION CERTIFIED ON 08-09-2013 BY DESIG/SENTENCE COMPUTATION CTR

THE FOLLOWING JUDGMENTS, WARRANTS AND OBLIGATIONS ARE INCLUDED IN
 CURRENT COMPUTATION 010: 010 010

DATE COMPUTATION BEGAN.....: 11-02-2012
 TOTAL TERM IN EFFECT.....: 135 MONTHS
 TOTAL TERM IN EFFECT CONVERTED...: 11 YEARS 3 MONTHS
 EARLIEST DATE OF OFFENSE.....: 04-24-2012

G0002 MORE PAGES TO FOLLOW . . .

BOPRC 540.23 * SENTENCE MONITORING * 07-10-2014
 PAGE 005 * COMPUTATION DATA * 11:24:32
 AS OF 07-10-2014

REGNO..: NAME:
 COMP NO: 010 ALL CURR COMPS(Y/N): Y ALL PRIOR COMPS(Y/N): Y
 FUNC...: DIS

JAIL CREDIT.....: FROM DATE THRU DATE
 04-24-2012 11-01-2012

TOTAL PRIOR CREDIT TIME.....: 192
 TOTAL INOPERATIVE TIME.....: 0
 TOTAL GCT EARNED AND PROJECTED...: 529
 TOTAL GCT EARNED.....: 108
 STATUTORY RELEASE DATE PROJECTED: 02-10-2022
 EXPIRATION FULL TERM DATE.....: 07-24-2023
 TIME SERVED.....: 2 YEARS 2 MONTHS 17 DAYS
 PERCENTAGE OF FULL TERM SERVED...: 19.6

PROJECTED SATISFACTION DATE.....: 02-10-2022
 PROJECTED SATISFACTION METHOD...: GCT REL

G0002 MORE PAGES TO FOLLOW . . .

BOPRC 540.23 *
PAGE 006 OF 006 *

SENTENCE MONITORING
COMPUTATION DATA
AS OF 07-10-2014

* 07-10-2014
* 11:21:31

REGNO...:

COMP NO:

FUNC...:

ALL CURR COMPS(Y/N): ALL PRIOR COMPS(Y/N):

REMARKS.....:

S0055

NO PRIOR SENTENCE DATA EXISTS FOR THIS INMATE

APPENDIX A3

PSCD - Sentence Reduced and Completed

BOPRC 540.23 *	SENTENCE MONITORING	*	07-10-2014
PAGE 001 *	COMPUTATION DATA	*	11:22:04
	AS OF 07-22-2008		

REGNO..: NAME:
 COMP NO: 010 ALL CURR COMPS(Y/N): Y ALL PRIOR COMPS(Y/N): Y
 FUNC....: DIS

FBI NO.....:	DATE OF BIRTH:
ARS1.....: MCD/GCT REL	
UNIT.....:	QUARTERS.....:
DETAINERS.....: NO	NOTIFICATIONS: NO

HOME DETENTION ELIGIBILITY DATE: 01-22-2008

THE FOLLOWING SENTENCE DATA IS FOR THE INMATE'S PRIOR COMMITMENT.
THE INMATE WAS SCHEDULED FOR RELEASE: 07-22-2008 VIA GCT REL

G0002 MORE PAGES TO FOLLOW . . .

BOPRC 540.23 * SENTENCE MONITORING * 07-10-2014
 PAGE 002 * COMPUTATION DATA * 11:22:04
 AS OF 07-22-2008

REGNO...: ..ME: .
 COMP NO: 010 ALL CURR COMPS(Y/N): Y ALL PRIOR COMPS(Y/N): Y
 FUNC...: DIS

-----PRIOR JUDGMENT/WARRANT NO: 010 -----

COURT OF JURISDICTION.....: ..
 DOCKET NUMBER.....: L
 JUDGE.....:
 DATE SENTENCED/PROBATION IMPOSED: 05-03-2000
 DATE COMMITTED.....: 05-30-2000
 HOW COMMITTED.....: US DISTRICT COURT COMMITMENT
 PROBATION IMPOSED.....: NO

	FELONY ASSESS	MISDMNR ASSESS	FINES	COSTS
NON-COMMITTED.:	\$100.00	\$00.00	\$00.00	\$00.00

RESTITUTION...: PROPERTY: NO SERVICES: NO AMOUNT: \$00.00

G0002 MORE PAGES TO FOLLOW . . .

BOPRC 540.23 * SENTENCE MONITORING * 07-10-2014
 PAGE 003 * COMPUTATION DATA * 11:22:04
 AS OF 07-22-2008

REGNO... : IE: .
 COMP NO: 010 ALL CURR COMPS(Y/N): Y ALL PRIOR COMPS(Y/N): Y
 FUNC... : DIS

-----PRIOR OBLIGATION NO: 010 -----
 OFFENSE CODE....: 391
 OFF/CHG: 21:846-CONSPIRACY POSSESS W/INTENT DISTRIBUTE & DISTRIBUTE
 HEROIN AND COCAINE BASE

SENTENCE PROCEDURE.....: 3559 PLRA SENTENCE
 SENTENCE IMPOSED/TIME TO SERVE.: 360 MONTHS
 TERM OF SUPERVISION.....: 5 YEARS
 NEW SENTENCE IMPOSED.....: 120 MONTHS
 BASIS FOR CHANGE.....: COURT ORDER MODIFYING SENTENCE
 DATE OF OFFENSE.....: 10-14-1999

G0002 MORE PAGES TO FOLLOW . . .

BOPRC 540.23 *	SENTENCE MONITORING	*	07-10-2014
PAGE 004 *	COMPUTATION DATA	*	11:22:04
	AS OF 07-22-2008		

REGNO...: NAME:
 COMP NO: 010 ALL CURR COMPS(Y/N): Y ALL PRIOR COMPS(Y/N): Y
 FUNC...: DIS

-----PRIOR COMPUTATION NO: 010 -----

COMPUTATION 010 WAS LAST UPDATED ON 06-21-2007 AT DSC AUTOMATICALLY
 COMPUTATION CERTIFIED ON 06-21-2007 BY DESIG/SENTENCE COMPUTATION CTR

THE FOLLOWING JUDGMENTS, WARRANTS AND OBLIGATIONS ARE INCLUDED IN
 PRIOR COMPUTATION 010: 010 010

DATE COMPUTATION BEGAN.....: 05-03-2000
 TOTAL TERM IN EFFECT.....: 120 MONTHS
 TOTAL TERM IN EFFECT CONVERTED...: 10 YEARS
 EARLIEST DATE OF OFFENSE.....: 10-14-1999

G0002 MORE PAGES TO FOLLOW . . .

BOPRC 540.23 *
PAGE 005 *

SENTENCE MONITORING
COMPUTATION DATA
AS OF 07-22-2008

* 07-10-2014
* 11:22:04

REGNO...: [] NAME:
COMP NO: 010 ALL CURR COMPS(Y/N): [Y] ALL PRIOR COMPS(Y/N): [Y]
FUNC....: DIS

JAIL CREDIT.....: FROM DATE THRU DATE
10-14-1999 05-02-2000

TOTAL PRIOR CREDIT TIME.....: 202
TOTAL INOPERATIVE TIME.....: 0
TOTAL GCT EARNED AND PROJECTED...: 447
TOTAL GCT EARNED.....: 447
STATUTORY RELEASE DATE PROJECTED: 07-22-2008
EXPIRATION FULL TERM DATE.....: 10-12-2009
TIME SERVED.....: 8 YEARS 9 MONTHS 7 DAYS
PERCENTAGE OF FULL TERM SERVED...: 87.7

ACTUAL SATISFACTION DATE.....: 07-22-2008
ACTUAL SATISFACTION METHOD.....: GCT REL

G0002 MORE PAGES TO FOLLOW . . .

BOPRC 540.23 *
PAGE 006 OF 006 *

SENTENCE MONITORING
COMPUTATION DATA
AS OF 07-22-2008

* 07-10-2014
* 11:22:04

REGNO..: _____ AME:
COMP NO: 010 ALL CURR COMPS(Y/N): Y ALL PRIOR COMPS(Y/N): Y
FUNC...: DIS

ACTUAL SATISFACTION FACILITY.....: CRL
ACTUAL SATISFACTION KEYED BY.....: ND*

DAYS REMAINING.....: 447
FINAL PUBLIC LAW DAYS.....: 0

REMARKS.....: ' _____ hS

G0005 TRANSACTION SUCCESSFULLY COMPLETED - CONTINUE PROCESSING IF DESIRED

APPENDIX A4

PPPI - Transported to BOP Facility, Multiple Brief Periods of Jail

Credit

BOPRC	*	PUBLIC INFORMATION	*	10-09-2014
PAGE 001	*	INMATE DATA	*	07:53:50
		AS OF 10-09-2014		

REGNO...:	NAME:		
COMP NO: 010	ALL CURR COMPS(Y/N):	<input checked="" type="checkbox"/> Y	ALL PRIOR COMPS(Y/N): <input checked="" type="checkbox"/> Y
FUNC...: DIS	RESP OF: SPG		
	PHONE...: 417-862-7041	FAX: 417-837-1717	
		RACE/SEX...: BLACK / MALE	
		AGE: 57	
PROJ REL MT: GOOD CONDUCT TIME RELEASE		PAR ELIG DT: N/A	
PROJ REL DT: 10-01-2017		PAR HEAR DT:	

G0002 MORE PAGES TO FOLLOW . . .

BOPRC	*	PUBLIC INFORMATION	*	10-09-2014
PAGE 002	*	INMATE DATA	*	07:53:50
		AS OF 10-09-2014		

REGNO...: _____ NAME: _____ .. -R
 COMP NO: 010 ALL CURR COMPS(Y/N): ALL PRIOR COMPS(Y/N):
 FUNC...: DIS RESP OF: SPG
 PHONE..: 417-862-7041 FAX: 417-837-1717
 HOME DETENTION ELIGIBILITY DATE: 04-01-2017

THE FOLLOWING SENTENCE DATA IS FOR THE INMATE'S CURRENT COMMITMENT.
 THE INMATE IS PROJECTED FOR RELEASE: 10-01-2017 VIA GCT REL

-----CURRENT JUDGMENT/WARRANT NO: 010 -----

COURT OF JURISDICTION.....: MISSOURI, EASTERN DISTRICT
 DOCKET NUMBER.....: 4:03CR633CEJ
 JUDGE.....: JACKSON
 DATE SENTENCED/PROBATION IMPOSED: 09-17-2004
 DATE COMMITTED.....: 11-10-2004
 HOW COMMITTED.....: US DISTRICT COURT COMMITMENT
 PROBATION IMPOSED.....: NO

G0002 MORE PAGES TO FOLLOW . . .

PPPI

BOPRC * PUBLIC INFORMATION * 10-09-2014
 PAGE 003 * INMATE DATA * 07:53:50
 AS OF 10-09-2014

REGNO...: _____ NAME: _____
 COMP NO: 010 ALL CURR COMPS(Y/N): ALL PRIOR COMPS(Y/N):
 FUNC...: DIS RESP OF: SPG
 PHONE...: 417-862-7041 FAX: 417-837-1717

	FELONY ASSESS	MISDMNR ASSESS	FINES	COSTS
NON-COMMITTED.:	\$100.00	\$00.00	\$00.00	\$00.00

RESTITUTION...: PROPERTY: NO SERVICES: NO AMOUNT: \$00.00

-----CURRENT OBLIGATION NO: 010 -----

OFFENSE CODE....: 136
 OFF/CHG: 18:922(G)(1) AND 924(C)(1) FELON IN POSSESSION OF A FIREARM

SENTENCE PROCEDURE.....: 3559 PLRA SENTENCE
 SENTENCE IMPOSED/TIME TO SERVE.: 188 MONTHS
 TERM OF SUPERVISION.....: 3 YEARS

G0002 MORE PAGES TO FOLLOW . . .

PPPI

BOPRC	*	PUBLIC INFORMATION	*	10-09-2014
PAGE 004	*	INMATE DATA	*	07:53:50
		AS OF 10-09-2014		

REGNO...: NAME: ...
 COMP NO: 010 ALL CURR COMPS(Y/N): ALL PRIOR COMPS(Y/N):
 FUNC...: DIS RESP OF: SPG
 PHONE...: 417-862-7041 FAX: 417-837-1717
 NEW SENTENCE IMPOSED.....: 180 MONTHS
 BASIS FOR CHANGE.....: COURT ORDER CORRECTING SENT
 DATE OF OFFENSE.....: 11-11-2002

-----CURRENT COMPUTATION NO: 010 -----

COMPUTATION 010 WAS LAST UPDATED ON 09-14-2010 AT DSC AUTOMATICALLY
 COMPUTATION CERTIFIED ON 09-14-2010 BY DESIG/SENTENCE COMPUTATION CTR

THE FOLLOWING JUDGMENTS, WARRANTS AND OBLIGATIONS ARE INCLUDED IN
 CURRENT COMPUTATION 010: 010 010

DATE COMPUTATION BEGAN.....: 09-17-2004
 TOTAL TERM IN EFFECT.....: 180 MONTHS

G0002 MORE PAGES TO FOLLOW . . .

BOPRC * PUBLIC INFORMATION * 10-09-2014
 PAGE 005 * INMATE DATA * 07:53:50
 AS OF 10-09-2014

REGNO..: _____ NAME: _____
 COMP NO: 010 ALL CURR COMPS(Y/N): ALL PRIOR COMPS(Y/N):
 FUNC....: DIS RESP OF: SPG
 PHONE...: 417-862-7041 FAX: 417-837-1717
 TOTAL TERM IN EFFECT CONVERTED...: 15 YEARS
 EARLIEST DATE OF OFFENSE.....: 11-11-2002

JAIL CREDIT.....:	FROM DATE	THRU DATE
	11-11-2002	11-12-2002
	04-19-2003	04-19-2003
	01-05-2004	01-09-2004
	03-01-2004	03-02-2004

TOTAL PRIOR CREDIT TIME.....: 10
 TOTAL INOPERATIVE TIME.....: 0
 TOTAL GCT EARNED AND PROJECTED..: 705
 TOTAL GCT EARNED.....: 540
 STATUTORY RELEASE DATE PROJECTED: 10-01-2017

G0002 MORE PAGES TO FOLLOW . . .

BOPRC * PUBLIC INFORMATION * 10-09-2014
 PAGE 006 OF 006 * INMATE DATA * 07:53:50
 AS OF 10-09-2014

REGNO...: NAME:
 COMP NO: 010 ALL CURR COMPS(Y/N): ALL PRIOR COMPS(Y/N):
 FUNC...: DIS RESP OF: SPG
 PHONE...: 417-862-7041 FAX: 417-837-1717
 EXPIRATION FULL TERM DATE.....: 09-06-2019
 TIME SERVED.....: 10 YEARS 1 MONTHS 3 DAYS
 PERCENTAGE OF FULL TERM SERVED...: 67.2
 PROJECTED SATISFACTION DATE.....: 10-01-2017
 PROJECTED SATISFACTION METHOD...: GCT REL

S0055 NO PRIOR SENTENCE DATA EXISTS FOR THIS INMATE

APPENDIX B

Good Time Chart

14.13 GOOD TIME CHART

<u>MONTHS</u>	<u>YR/MO</u>	<u>NO. DAYS</u>	<u>DAYS GOT</u>	<u>DAYS SERVED</u>	<u>MO/DA SERVED</u>
13	1 - 1	395	51	344	11 - 10
14	1 - 2	426	55	371	12 - 6
15	1 - 3	456	59	397	13 - 2
16	1 - 4	487	63	424	13 - 28
17	1 - 5	517	67	450	14 - 25
18	1 - 6	548	71	477	15 - 21
19	1 - 7	578	75	503	16 - 17
20	1 - 8	608	78	530	17 - 13
21	1 - 9	639	82	556	18 - 9
22	1 - 10	669	86	583	19 - 5
23	1 - 11	700	90	609	20 - 1
24	2 - 0	730	94	636	20 - 28
25	2 - 1	760	98	662	21 - 24
26	2 - 2	791	102	689	22 - 20
27	2 - 3	821	106	715	23 - 16
28	2 - 4	852	110	742	24 - 12
29	2 - 5	882	114	768	25 - 8
30	2 - 6	913	118	795	26 - 4
31	2 - 7	943	122	821	27 - 0
32	2 - 8	973	126	848	27 - 27
33	2 - 9	1004	130	874	28 - 23
34	2 - 10	1034	133	901	29 - 19
35	2 - 11	1065	137	927	30 - 15
36	3 - 0	1095	141	954	31 - 11
37	3 - 1	1125	145	980	32 - 7
38	3 - 2	1156	149	1007	33 - 3
39	3 - 3	1186	153	1033	33 - 29
40	3 - 4	1217	157	1060	34 - 26

APPENDIX C

PP44

BOPRC 535.03 *
PAGE 001

INMATE PROFILE

* 07-08-2014
07:47:29

REGNO: [REDACTED] REG
 NAME.: [REDACTED] FUNCTION: DIS DOB/AGE.: / 73
 RSP.: LEX-LEXINGTON FMC R/S/ETH.: W/M/O WALSH: NO
 MILEAGE.: 272 MILES
 PHONE: 859-255-6812 FAX: 859-253-8821
 PROJ REL METHOD: GOOD CONDUCT TIME RELEASE FBI NO.:
 PROJ REL DATE...: 02-26-2018 INS NO.:
 PAR ELIG DATE...: N/A SSN.....:
 PAR HEAR DATE...: PSYCH: NO DETAINER: NO CMC...: NO

FACL CATEGORY	-----	CURRENT ASSIGNMENT	-----	EFF DATE	TIME
LEX ADM-REL	A-DES	DESIGNATED, AT ASSIGNED FACIL		05-08-2014	1446
LEX CUSTODY	IN	IN CUSTODY		02-12-2007	1358
LEX QUARTERS	H04-320LH	HOUSE H/RANGE 04/BED 320L H		05-08-2014	1446
LEX UNIT	HCU M	F. PALMER U/M 5668		05-08-2014	1446
LEX WRK DETAIL	MED UNASSG	MEDICALLY UNASSIGNED		06-21-2014	0001

OFFN/CHG RMKS: 18:2252(A)(2) RECEIPT/DISTRIBUTION OF CHILD PORNOGRAPHY/
 OFFN/CHG RMKS: 151 MOS CBOP/3 YRS SRT/1:06CR384-01

G0002 MORE PAGES TO FOLLOW . . .

BOPRC 535.03 *
PAGE 002

INMATE PROFILE

* 07-08-2014
07:47:29

REGNO: [REDACTED] REG
NAME.: [REDACTED] FUNCTION: DIS DOB/AGE.: / 73
R/S/ETH.: W/M/O WALSH: NO
RSP.: LEX-LEXINGTON FMC MILEAGE.: 272 MILES
PHONE: 859-255-6812 FAX: 859-253-8821

FACL	CATEGORY	-----	CURRENT ASSIGNMENT	-----	EFF DATE	TIME
LEX	ADM-REL	A-DES	DESIGNATED, AT ASSIGNED FACIL		05-08-2014	1446
LEX	CALLOUTS	CLASSRM 6	CLASSROOM 6 - ED MAIN		07-08-2014	1800
LEX	CARE LEVEL	CARE1-MH	CARE1-MENTAL HEALTH		08-02-2010	1338
LEX	CARE LEVEL	CARE3	UNSTABLE, COMPLEX CHRONIC CARE		03-30-2012	1112
LEX	COR COUNSL	CCC R2	[REDACTED]		05-08-2014	1446
LEX	CASE MGT	RPP NEEDS	RELEASE PREP PGM NEEDS		03-14-2007	1258
LEX	CASE MGT	V94 COA913	V94 CURR OTHER ON/AFTER 91394		04-03-2007	1336
LEX	CASE MGT	WA NOT CER	WALSH ACT-NOT CERTIFIED		11-16-2010	1400
LEX	CASE MGT	WA W CONV	WALSH ACT HIST WITH CONVICTION		04-03-2007	1335
LEX	CASEWORKER	CSW R2	[REDACTED]		05-08-2014	1446
LEX	CUSTODY	IN	IN CUSTODY		02-12-2007	1358
LEX	DRUG PGMS	ED NONE	DRUG EDUCATION NONE		07-19-2013	1253
LEX	DESIG/SENT	FOXTROT	TEAM FOXTROT		02-12-2007	1359
LEX	EDUC INFO	ESL HAS	ENGLISH PROFICIENT		04-03-2007	1056

G0002 MORE PAGES TO FOLLOW . . .

BOPRC 535.03 *
PAGE 003 OF 003

INMATE PROFILE

* 07-08-2014
07:47:29

REGNO: [REDACTED] REG
NAME.: [REDACTED] FUNCTION: DIS DOB/AGE.: , / 73
RSP.: LEX-LEXINGTON FMC R/S/ETH.: W/M/O WALSH: NO
PHONE: 859-255-6812 FAX: 859-253-8821 MILEAGE.: 272 MILES

FACL	CATEGORY	---	---	---	---	CURRENT ASSIGNMENT	---	---	---	---	EFF DATE	TIME
LEX	EDUC INFO	GED HAS	COMPLETED	GED OR HS DIPLOMA							04-02-2007	1351
LEX	FIN RESP	COMPLT	FINANC RESP-COMPLETED								03-27-2007	1419
LEX	LEVEL	LOW	SECURITY CLASSIFICATION	LOW							02-12-2007	1520
LEX	MED DY ST	NO DUTY	NO DUTY DUE TO MEDICAL COND								06-21-2014	0001
LEX	MED DY ST	ORTH SHOES	ORTHOPEDIC SHOES								01-14-2014	0001
LEX	MED DY ST	WHEELCHAIR	REQUIRES WHEELCHAIR								01-14-2014	0001
LEX	MED DY ST	YES F/S	CLEARED FOR FOOD SERVICE								07-26-2012	1500
LEX	PGM REVIEW	DEC	DECEMBER PROGRAM REVIEW								12-14-2014	0828
LEX	PSYCH TRMT	SOM NR D	SEX OFF TRMT NON-RES DECLINE								07-18-2011	1416
LEX	QUARTERS	H04-320LH	HOUSE H/RANGE 04/BED 320L H								05-08-2014	1446
LEX	RELIGION	CATHOLIC	CATHOLIC								03-21-2007	1854
LEX	STATUS	INCOMPLETE	FORMS INCOMPLETE								05-08-2014	1446
LEX	UNIT	HCU M	F. PALMER U/M 5668								05-08-2014	1446
LEX	WRK DETAIL	MED UNASSG	MEDICALLY UNASSIGNED								06-21-2014	0001

G0005 TRANSACTION SUCCESSFULLY COMPLETED - CONTINUE PROCESSING IF DESIRED

APPENDIX D

PD15

BOPRC	*	INMATE DISCIPLINE DATA	*	07-08-2014
PAGE 001	*	CHRONOLOGICAL DISCIPLINARY RECORD	*	07:46:08

REGISTER NO: [REDACTED] NAME..: [REDACTED]
 FUNCTION...: DIS FORMAT: CHRONO LIMIT TO [] MOS PRIOR TO 07-08-2014
 RSP OF: LEX-LEXINGTON FMC

 REPORT NUMBER/STATUS.: 2596513 - SANCTIONED INCIDENT DATE/TIME: 06-20-2014 2015
 UDC HEARING DATE/TIME: 06-26-2014 1443
 FACL/UDC/CHAIRPERSON.: LEX/HCU M/[REDACTED]
 REPORT REMARKS.....: INMATE ADMITTED GUILT.
 305 POSSESSING UNAUTHORIZED ITEM - FREQ: 1
 LP PHONE / 30 DAYS / CS
 COMP: LAW:

 REPORT NUMBER/STATUS.: 2596543 - SANCTIONED INCIDENT DATE/TIME: 06-20-2014 1140
 UDC HEARING DATE/TIME: 06-26-2014 1436
 FACL/UDC/CHAIRPERSON.: LEX/HCU M/[REDACTED]
 REPORT REMARKS.....: INMATE ADMITTED GUILT.

G0002 MORE PAGES TO FOLLOW . . .

BOPRC * INMATE DISCIPLINE DATA * 07-08-2014
PAGE 002 OF 002 * CHRONOLOGICAL DISCIPLINARY RECORD * 07:46:08

REGISTER NO: [REDACTED] NAME..: [REDACTED]
FUNCTION...: DIS FORMAT: CHRONO LIMIT TO [] MOS PRIOR TO 07-08-2014
RSP OF: LEX-LEXINGTON FMC

UDC HEARING DATE/TIME: 06-26-2014 1436 REPORT 2596543 CONTINUED
305 POSSESSING UNAUTHORIZED ITEM - FREQ: 1
LP COMM / 30 DAYS / CS
COMP: LAW:

G0005 TRANSACTION SUCCESSFULLY COMPLETED - CONTINUE PROCESSING IF DESIRED

APPENDIX E

Prohibited Acts and Disciplinary Severity Scale

Current

Refer to Program Statement 5270.09, Inmate Discipline and Special Housing Units, for any questions involving the disciplinary process. The following is a complete list of prohibited acts and sanctions.

TABLE 3 - PROHIBITED ACTS AND DISCIPLINARY SEVERITY SCALE

GREATEST CATEGORY

The UDC shall refer all Greatest and High Severity Prohibited Acts to the DHO with recommendations as to an appropriate disposition.

<u>CODE</u>	<u>PROHIBITED ACTS</u>	<u>SANCTIONS</u>
100	Killing	A. Recommend parole date rescission or retardation.
101	Assaulting any person (includes sexual assault) or an armed assault on the institution's secure perimeter (a charge for assaulting any person at this level is to be used only when serious physical injury has been attempted or accomplished)	B. Forfeit earned statutory good time or non-vested good conduct time (up to 100%) and/or terminate or disallow extra good time (an extra good time or good conduct time sanction may not be suspended).
102	Escape from escort; escape from any secure or non-secure institution, including community confinement; escape from unescorted community program or activity; escape from outside a secure institution.	B.1 Disallow ordinarily between 50 and 75% (27-41 days) of good conduct time credit available for year (a good conduct time sanction may not be suspended).
103	Setting a fire (charged with this act in this category only when found to pose a threat to life or a threat of serious bodily harm or in furtherance of a prohibited act of Greatest Severity, e.g. in furtherance of a riot or escape; otherwise the charge is properly classified Code 218, or 329)	C. Disciplinary segregation (up to 12 months). D. Make monetary restitution. E. Monetary fine
104	Possession, manufacture, or introduction of a gun, firearm, weapon, sharpened instrument, knife, dangerous chemical, explosive, ammunition or any instrument used as a weapon.	F. Loss of privileges (Note - can be in addition to A through E - cannot be the only sanction executed).]
105	Rioting	
106	Encouraging others to riot	
107	Taking hostage(s)	
108	Possession, manufacture, introduction or loss of a hazardous tool (Tools most likely to be used in an escape or escape attempt or to serve as weapons capable of doing serious bodily harm to others; or those hazardous to institutional security or personal safety; e.g., hacksaw blade, body armor, maps, handmade rope, or other escape paraphernalia, portable telephone, pager, other electronic device)	
110	Refusing to provide a urine sample; refusing to breathe into Breathalyzer; refusing to take part in other drug-abuse testing	
111	Introduction of any narcotics, marijuana, drugs, alcohol, intoxicants, or related paraphernalia not prescribed for the individual by the medical staff	
112	Use of any narcotics, marijuana, drugs, alcohol, intoxicants, or related paraphernalia not prescribed for the individual by the medical staff	
113	Possession of any narcotics, marijuana, drugs, alcohol, intoxicants, or related paraphernalia not prescribed for the individual by the medical staff	
114	Sexual Assault of any person, involving non-consensual touching by force or threat of force.	

- 115 Destroying and/or disposing of any item during a search or attempt to search
- 196 Use of mail for an illegal purpose or to commit or further a Greatest category prohibited act.
- 197 Use of the telephone to further criminal activity.
- 198 Interfering with a staff member in the performance of duties.
- 199 Conduct which disrupts or interferes with the security or orderly running of the institution or the Bureau of Prisons. (Conduct must be of the Greatest Severity nature.) This charge is to be used only when another charge of greatest severity is not applicable.

HIGH CATEGORY

<u>CODE</u>	<u>PROHIBITED ACTS</u>	<u>SANCTIONS</u>
200	Escape from work detail, non-secure institution, or other non-secure confinement, including community confinement, with subsequent voluntary return to Bureau of Prisons custody within four hours.	A. Recommend parole date rescission or retardation. B. Forfeit earned statutory good time or non-vested good conduct time up to 50% or up to 60 days, whichever is less, and/or terminate or disallow extra good time (an extra good time or good conduct time sanction may not be suspended)
201	Fighting with another person	
202	(Not to be used)	
203	Threatening another with bodily harm or any other offense	B.1 Disallow ordinarily between 25 and 50% (14-27 days) of good conduct time credit available for year (a good conduct time sanction may not be suspended).
204	Extortion, blackmail, protection: Demanding or receiving money or anything of value in return for protection against others, to avoid bodily harm, or under threat of informing	C. Disciplinary segregation (up to 6 months).
205	Engaging in sexual acts	D. Make monetary restitution.
206	Making sexual proposals or threats to another	E. Monetary fine.
207	Wearing a disguise or a mask	F. Loss of privileges: commissary, movies, recreation, etc.
208	Possession of any unauthorized locking device, or lock pick, or tampering with or blocking any lock device (includes keys), or destroying, altering, interfering with, improperly using, or damaging any security device, mechanism, or procedure	G. Change housing (quarters)
209	Adulteration of any food or drink	H. Remove from program and/or group activity
210	(Not to be used)	I. Loss of job
211	Possessing any officer's or staff clothing	J. Impound inmate's personal property
212	Engaging in, or encouraging a group demonstration	K. Confiscate contraband
213	Encouraging others to refuse to work, or to participate in a work stoppage	L. Restrict to quarters.
214	(Not to be used)	
215	Introduction of alcohol into BOP facility	
216	Giving or offering an official or staff member a bribe, or anything of value	
217	Giving money to, or receiving money from, any person for purposes of introducing contraband or for any other illegal or prohibited purposes	

218 Destroying, altering, or damaging government property, or the property of another person, having a value in excess of \$100.00 or destroying, altering, damaging life-safety devices (e.g., fire alarm) regardless of financial value

HIGH CATEGORY (Table 3 Cont'd)

219 Stealing (theft; this includes data obtained through the unauthorized use of a communications facility, or through the unauthorized access to disks, tapes, or computer printouts or other automated equipment on which data is stored.)

220 Demonstrating, practicing, or using martial arts, boxing (except for use of a punching bag), wrestling, or other forms of physical encounter, or military exercises or drill (except for drill authorized and conducted by staff)

221 Being in an unauthorized area with a person of the opposite sex without staff permission

224 Assaulting any person (charged with this act only when less serious physical injury or contact has been attempted or carried out by an inmate)

225 Stalking another person through repeated behavior which harasses alarms, or annoys the person, after having been previously warned to stop such conduct.

226 Possession of stolen property.

227 Refusing to participate in require physical test or examination unrelated to testing for drug abuse (e.g. DNA, HIV, tuberculosis).

228 Tattooing or self-mutilation.

229 Sexual assault of any person, involving non-consensual touching without force or threat of force.

296 Use of the mail for abuses other than criminal activity which circumvent mail monitoring procedures (e.g. use of the mail to commit or further a High category prohibited act, special mail abuse; writing letters in code; directing others to send, sending or receiving a letter or mail through unauthorized means; sending mail for other inmates without authorization; sending correspondence to a specific address with directions or intent to have the correspondence sent to an unauthorized person; and using a fictitious return address in and attempt to send or receive unauthorized correspondence).

297 Use of the telephone for abuses other than criminal activity (e.g., circumventing telephone monitoring procedures, possession and/or use of another inmate's PIN number; third-party calling; third-party billing; using credit card numbers to place telephone calls; conference calling; talking in code)

298 Interfering with a staff member in the performance of duties. (Conduct must be of the High Severity nature.) This charge is to be used only when another charge of the high severity is not applicable.

299 Conduct which disrupts or interferes with the security or orderly running of the institution or the Bureau of Prisons. (Conduct must be of the High Severity nature.) This charge is to be used only when another charge of high severity is not applicable. Abuse of TRULINCS will be considered

Conduct which disrupts or interferes with the security of orderly running of the institution or the Bureau of Prisons most like Use of the telephone for abuses other than criminal activity code 299 most like 297.

MODERATE CATEGORY

<u>CODE</u>	<u>PROHIBITED ACTS</u>	<u>SANCTIONS</u>
300	Indecent Exposure	A. Recommend parole date rescission or retardation.
301	(Not to be used)	B. Forfeit earned statutory good time or non-vested good conduct time up to 25% or up to 30 days, whichever is less, and/or terminate or disallow extra good time (an extra good time or good conduct time sanction may not be suspended).
302	Misuse of authorized medication	
303	Possession of money or currency, unless specifically authorized, or in excess of the amount authorized	B.1 Disallow ordinarily up to 25% (1-14 days) of good conduct time credit available for year (a good conduct time sanction may not be suspended).
304	Loaning of property or anything of value for profit or increased return	C. Disciplinary segregation (up to 3 months).
305	Possession of anything not authorized for retention or receipt by the inmate, and not issued to him through regular channels.	D. Make monetary restitution.
306	Refusing to work, or to accept a program assignment	E. Monetary fine
307	Refusing to obey an order of any staff member (May be categorized and charged in terms of greater severity, according to the nature of the order being disobeyed; e.g., failure to obey an order which furthers a riot would be charged as 105, Rioting; refusing to obey an order which furthers a fight would be charged as 201, Fighting; refusing to provide a urine sample when ordered would be charged as Code 110)	F. Loss of privileges: commissary, movies, recreation, etc.
308	Violating a condition of a furlough	G. Change housing (quarters).
309	Violating a condition of a community program	H. Remove from program and/or group activity.]
310	Unexcused absence from work or any assignment	I. Loss of job.
311	Failing to perform work as instructed by the supervisor	J. Impound inmate's personal property.
312	Insolence towards a staff member	K. Confiscate contraband.
313	Lying or providing a false statement to a staff member.	L. Restrict to quarters.
314	Counterfeiting, forging or unauthorized reproduction of any document, article of identification, money, security, or official paper. (May be categorized in terms of greater severity according to the nature of the item being reproduced; e.g., counterfeiting release papers to effect escape, Code 102 or Code 200)	M. Extra duty.
315	Participating in an unauthorized meeting or gathering	
316	Being in an unauthorized area	
317	Failure to follow safety or sanitation regulations (including safety regulations, chemical instructions, tools, MSDS sheets, OSHA standards).	
318	Using any equipment or machinery which is not specifically authorized	
319	Using any equipment or machinery contrary to instructions	

or posted safety standards

320 Failing to stand count

MODERATE CATEGORY (Table 3 Cont'd

321 Interfering with the taking of count

324 Gambling

325 Preparing or conducting a gambling pool

326 Possession of gambling paraphernalia

327 Unauthorized contacts with the public

328 Giving money or anything of value to, or accepting money or anything of value from: another inmate, or any other person without staff authorization

329 Destroying, altering or damaging government property, or the property of another person, having a value of \$100.00 or less

330 Being unsanitary or untidy; failing to keep one's person and one's quarters in accordance with posted standards

331 Possession, manufacture, or introduction of a non-hazardous tool or other non-hazardous contraband (Tool not likely to be used in an escape or escape attempt, or to serve as a weapon capable of doing serious bodily harm to others, or not hazardous to institutional security or personal safety; Other non-hazardous contraband includes such items as food or cosmetics)

332 Smoking where prohibited

333 Fraudulent or deceptive completion of a skills test (e.g. cheating on a GED or other educational or vocational skills test).

334 Conducting a business; conducting or directing an investment transaction without staff authorization.

335 Communicating gang affiliation; participating in gang related activities; possession of paraphernalia indicating gang affiliation.

336 Circulating a petition.

397 Use of the telephone for abuses other than criminal activity (e.g., conference calling, possession and/or use of another inmate's PIN number, three-way calling, providing false information for preparation of a telephone list).

398 Interfering with a staff member in the performance of duties. **(Conduct must be of the Moderate Severity nature.)** This charge is to be used only when another charge of moderate severity is not applicable.

399 Conduct which disrupts or interferes with the security or orderly running of the institution or the Bureau of Prisons. **(Conduct must be of the Moderate Severity nature.)** This charge is to be used only when another charge of moderate severity is not applicable.

LOW MODERATE CATEGORY

<u>CODE</u>	<u>PROHIBITED ACTS</u>	<u>SANCTIONS</u>
402	Malingering, feigning illness	B.1 Disallow ordinarily up to 12.5% (1-7 days) of good conduct time credit available for year (to be used only where inmate found to have committed a second violation of the same prohibited act within 6 months); Disallow ordinarily up to 25% (1-14 days) of good conduct time credit available for year (to be used only where inmate found to have committed a third violation of the same prohibited act within 6 months) (a good conduct time sanction may not be suspended).]
404	Using abusive or obscene language	
407	Conduct with a visitor in violation of Bureau regulations (Restriction, or loss for a specific period of time, of these privileges may often be an appropriate sanction G)	D. Make monetary restitution. E. Monetary fine F. Loss of privileges: commissary, movies, recreation, etc.
498	Interfering with a staff member in the performance of duties. <u>Conduct must be of the Low Moderate Severity nature.</u> This charge is to be used only when another charge of low moderate severity is not applicable	G. Change housing (quarters). H. Remove from program and/or group activity.]
499	Conduct which disrupts or interferes with the security or orderly running of the institution or the Bureau of Prisons. <u>Conduct must be of the Low Moderate severity nature.</u> This charge is to be used only when another charge of low moderate severity is not applicable.	I. Loss of job. J. Impound inmate's personal property. K. Confiscate contraband. L. Restrict to quarters. M. Extra duty.

NOTE: Aiding another person to commit any of these offenses, attempting to commit any of these offenses, and making plans to commit any of these offenses, in all categories of severity, shall be considered the same as a commission of the offenses itself.

OFF-LIMITS/OUT OF BOUNDS

Familiarize yourself with the FPC boundaries. Posted Out of Bounds signs are located at the perimeter of the visiting playground area, the access road behind Food Service, Education, Psychology, behind both housing units, along the outside edge of the walking track and along all fence lines. You are only allowed access to these areas when authorized by staff to do so; otherwise disciplinary action will be taken.

Inmates are not authorized to be in any housing unit other than their assigned housing unit and are not authorized to walk on the grass.

APPENDIX F

Prohibited Acts and Disciplinary Severity Scale

12/4/2009

**[TABLE 3 - PROHIBITED ACTS AND DISCIPLINARY SEVERITY SCALE
 GREATEST CATEGORY]**

The UDC shall refer all Greatest Severity Prohibited Acts to the DHO with recommendations as to an appropriate disposition.

CODE	PROHIBITED ACTS	SANCTIONS
100	Killing	A. Recommend parole date rescission or retardation.
101	Assaulting any person (includes sexual assault) or an armed assault on the institution's secure perimeter (a charge for assaulting any person at this level is to be used only when serious physical injury has been attempted or carried out by an inmate)	B. Forfeit earned statutory good time or non-vested good conduct time (up to 100%) and/or terminate or disallow extra good time (an extra good time or good conduct time sanction may not be suspended).
102	Escape from escort; escape from a secure institution (low, medium, and high security level and administrative institutions); or escape from a minimum institution <u>with</u> violence	B.1 Disallow ordinarily between 50 and 75% (27-41 days) of good conduct time credit available for year (a good conduct time sanction may not be suspended). C. Disciplinary Transfer (recommend).
103	Setting a fire (charged with this act in this category only when found to pose a threat to life or a threat of serious bodily harm or in furtherance of a prohibited act of Greatest Severity, e.g. in furtherance of a riot or escape; otherwise the charge is properly classified Code 218, or 329)	D. Disciplinary segregation (up to 60 days). E. Make monetary restitution. F. Withhold statutory good time (Note - can be in addition to A through E - cannot be the only sanction executed). G. Loss of privileges (Note - can be in addition to A through E - cannot be the only sanction executed).]

[TABLE 3 (Cont'd)]
GREATEST CATEGORY (Cont'd)

<u>CODE</u>	<u>PROHIBITED ACTS</u>	<u>SANCTIONS</u>
104	Possession, manufacture, or introduction of a gun, firearm, weapon, sharpened instrument, knife, dangerous chemical, explosive or any ammunition	Sanctions A-G]
105	Rioting	
106	Encouraging others to riot	
107	Taking hostage(s)	
108	Possession, manufacture, or introduction of a hazardous tool (Tools most likely to be used in an escape or escape attempt or to serve as weapons capable of doing serious bodily harm to others; or those hazardous to institutional security or personal safety; e.g., hack-saw blade)	
109	(Not to be used)	
110	Refusing to provide a urine sample or to take part in other drug-abuse testing	
111	Introduction of any narcotics, marijuana, drugs, or related paraphernalia not prescribed for the individual by the medical staff	
112	Use of any narcotics, marijuana, drugs, or related paraphernalia not prescribed for the individual by the medical staff	
113	Possession of any narcotics, marijuana, drugs, or related paraphernalia not prescribed for the individual by the medical staff	
197	Use of the telephone to further criminal activity.	

[TABLE 3 (Cont'd)
GREATEST CATEGORY (Cont'd)

<u>CODE</u>	<u>PROHIBITED ACTS</u>	<u>SANCTIONS</u>
198	Interfering with a staff member in the performance of duties. <u>(Conduct must be of the Greatest Severity nature.)</u> This charge is to be used only when another charge of greatest severity is not applicable.	Sanctions A-G]
199	Conduct which disrupts or interferes with the security or orderly running of the institution or the Bureau of Prisons. <u>(Conduct must be of the Greatest Severity nature.)</u> This charge is to be used only when another charge of greatest severity is not applicable.	

[TABLE 3 (Cont'd)
HIGH CATEGORY

CODE	PROHIBITED ACTS	SANCTIONS
200	Escape from unescorted Community Programs and activities and Open Institutions (minimum) and from outside secure institutions-- <u>without violence.</u>	A. Recommend parole date rescission or retardation. B. Forfeit earned statutory good time or non-vested good conduct time up to 50% or up to 60 days, whichever is less, and/or terminate or disallow extra good time (an extra good time or good conduct time sanction may not be suspended)
201	Fighting with another person	
202	(Note to be used)	
203	Threatening another with bodily harm or any other offense	
204	Extortion, blackmail, protection: Demanding or receiving money or anything of value in return for protection against others, to avoid bodily harm, or under threat of informing	B.1 Disallow ordinarily between 25 and 50% (14-27 days) of good conduct time credit available for year (a good conduct time sanction may not be suspended). C. Disciplinary Transfer (recommend).
205	Engaging in sexual acts	D. Disciplinary segregation (up to 30 days).
206	Making sexual proposals or threats to another	E. Make monetary restitution.
207	Wearing a disguise or a mask	F. Withhold statutory good time]
208	Possession of any unauthorized locking device, or lock pick, or tampering with or blocking any lock device (includes keys), or destroying, altering, interfering with, improperly using, or damaging any security device, mechanism, or procedure	
209	Adulteration of any food or drink	
210	(Not to be used)	
211	Possessing any officer's or staff clothing	

[TABLE 3 (Cont'd)
 HIGH CATEGORY (Cont'd)]

CODE	PROHIBITED ACTS		SANCTIONS
212	Engaging in, or encouraging a group demonstration	G.	Loss of privileges: commissary, movies, recreation, etc.
213	Encouraging others to refuse to work, or to participate in a work stoppage	H.	Change housing (quarters)
214	(Not to be used)	I.	Remove from program and/or group activity
215	Introduction of alcohol into BOP facility	J.	Loss of job
216	Giving or offering an official or staff member a bribe, or anything of value	K.	Impound inmate's personal property
		L.	Confiscate contraband
217	Giving money to, or receiving money from, any person for purposes of introducing contraband or for any other illegal or prohibited purposes	M.	Restrict to quarters]
218	Destroying, altering, or damaging government property, or the property of another person, having a value in excess of \$100.00 or destroying, altering, damaging life-safety devices (e.g., fire alarm) regardless of financial value		
219	Stealing (theft; this includes data obtained through the unauthorized use of a communications facility, or through the unauthorized access to disks, tapes, or computer printouts or other automated equipment on which data is stored.)		

[TABLE 3 (Cont'd)
 HIGH CATEGORY (Cont'd)]

CODE	PROHIBITED ACTS	SANCTIONS
220	Demonstrating, practicing, or using martial arts, boxing (except for use of a punching bag), wrestling, or other forms of physical encounter, or military exercises or drill (except for drill authorized and conducted by staff)	Sanctions A-M]
221	Being in an unauthorized area with a person of the opposite sex without staff permission	
222	Making, possessing, or using intoxicants	
223	Refusing to breathe into a breathalyser or take part in other testing for use of alcohol	
224	Assaulting any person (charged with this act only when less serious physical injury or contact has been attempted or carried out by an inmate)	
297	Use of the telephone for abuses other than criminal activity (e.g., circumventing telephone monitoring procedures, possession and/or use of another inmate's PIN number; third-party calling; third-party billing; using credit card numbers to place telephone calls; conference calling; talking in code).	
298	Interfering with a staff member in the performance of duties. (<u>Conduct must be of the High Severity nature.</u>) This charge is to be used only when another charge of the high severity is not applicable.	
299	Conduct which disrupts or interferes with the security or orderly running of the institution or the Bureau of Prisons. (<u>Conduct must be of the High Severity nature.</u>) This charge is to be used only when another charge of high severity is not applicable.	

[TABLE 3 (Cont'd)
MODERATE CATEGORY

CODE	PROHIBITED ACTS	SANCTIONS
300	Indecent Exposure	A. Recommend parole date rescission or retardation.
301	(Not to be used)	
302	Misuse of authorized medication	B. Forfeit earned statutory good time or non-vested good conduct time up to 25% or up to 30 days, whichever is less, and/or terminate or disallow extra good time (an extra good time or good conduct time sanction may not be suspended).
303	Possession of money or currency, unless specifically authorized, or in excess of the amount authorized	
304	Loaning of property or anything of value for profit or increased return	B.1 Disallow ordinarily up to 25% (1-14 days) of good conduct time credit available for year (a good conduct time sanction may not be suspended).
305	Possession of anything not authorized for retention or receipt by the inmate, and not issued to him through regular channels	C. Disciplinary Transfer (recommend).
306	Refusing to work, or to accept a program assignment	D. Disciplinary segregation (up to 15 days).
307	Refusing to obey an order of any staff member (May be categorized and charged in terms of greater severity, according to the nature of the order being disobeyed; e.g., failure to obey an order which furthers a riot would be charged as 105, Rioting; refusing to obey an order which furthers a fight would be charged as 201, Fighting; refusing to provide a urine sample when ordered would be charged as Code 110)	E. Make monetary restitution. F. Withhold statutory good time.]
308	Violating a condition of a furlough	
309	Violating a condition of a community program	
310	Unexcused absence from work or any assignment	
311	Failing to perform work as instructed by the supervisor	
312	Insolence towards a staff member	

[TABLE 3 (Cont'd)
MODERATE CATEGORY (Cont'd)

CODE	PROHIBITED ACTS	SANCTIONS	
313	Lying or providing a false statement to a staff member.	G.	Loss of privileges: commissary, movies, recreation, etc.
314	Counterfeiting, forging or unauthorized reproduction of any document, article of identification, money, security, or official paper. (May be categorized in terms of greater severity according to the nature of the item being reproduced; e.g., counterfeiting release papers to effect escape, Code 102 or Code 200)	H.	Change housing (quarters).
		I.	Remove from program and/or group activity.
		J.	Loss of job.
		K.	Impound inmate's personal property.
		L.	Confiscate contraband.
315	Participating in an unauthorized meeting or gathering	M.	Restrict to quarters.
		N.	Extra duty.]
316	Being in an unauthorized area		
317	Failure to follow safety or sanitation regulations		
318	Using any equipment or machinery which is not specifically authorized		
319	Using any equipment or machinery contrary to instructions or posted safety standards		
320	Failing to stand count		
321	Interfering with the taking of count		
322	(Not to be used)		
323	(Not to be used)		
324	Gambling		
325	Preparing or conducting a gambling pool		
326	Possession of gambling paraphernalia		
327	Unauthorized contacts with the public		
328	Giving money or anything of value to, or accepting money or anything of value from: another inmate, or any other person without staff		

authorization

[TABLE 3 (Cont'd)]
 MODERATE CATEGORY (Cont'd)

CODE	PROHIBITED ACTS	SANCTIONS
329	Destroying, altering or damaging government property, or the property of another person, having a value of \$100.00 or less	Sanctions A-N]
330	Being unsanitary or untidy; failing to keep one's person and one's quarters in accordance with posted standards	
331	Possession, manufacture, or introduction of a non-hazardous tool or other non-hazardous contraband (Tool not likely to be used in an escape or escape attempt, or to serve as a weapon capable of doing serious bodily harm to others, or not hazardous to institutional security or personal safety; Other non-hazardous contraband includes such items as food or cosmetics)	
332	Smoking where prohibited	
397	Use of the telephone for abuses other than criminal activity (e.g., conference calling, possession and/or use of another inmate's PIN number, three-way calling, providing false information for preparation of a telephone list).	
398	Interfering with a staff member in the performance of duties. <u>(Conduct must be of the Moderate Severity nature.)</u> This charge is to be used only when another charge of moderate severity is not applicable.	
399	Conduct which disrupts or interferes with the security or orderly running of the institution or the Bureau of Prisons. <u>(Conduct must be of the Moderate Severity nature.)</u> This charge is to be used only when another charge of moderate severity is not applicable.	

[TABLE 3 (Cont'd)
 LOW MODERATE CATEGORY

CODE	PROHIBITED ACTS	SANCTIONS
400	Possession of property belonging to another person	B.1 Disallow ordinarily up to 12.5% (1-7 days) of good conduct time credit available for year (to be used only where inmate found to have committed a second violation of the same prohibited act within 6 months); Disallow ordinarily up to 25% (1-14 days) of good conduct time credit available for year (to be used only where inmate found to have committed a third violation of the same prohibited act within 6 months) (a good conduct time sanction may not be suspended).] (See Chapter 4 Page 16 for VCCLEA violent and PLRA inmates.)
401	Possessing unauthorized amount of otherwise authorized clothing	
402	Malingering, feigning illness	
403	Not to be used	
404	Using abusive or obscene language	
405	Tattooing or self-mutilation	
406	Not to be Used	
407	Conduct with a visitor in violation of Bureau regulations (Restriction, or loss for a specific period of time, of these privileges may often be an appropriate sanction G)	[E. Make monetary restitution. F. Withhold statutory good time. G. Loss of privileges: commissary, movies, recreation, etc.
408	Conducting a business	
409	Unauthorized physical contact (e.g., kissing, embracing)	H. Change housing (quarters). I. Remove from program and/or group activity.]
410	Unauthorized use of mail (Restriction, or loss for a specific period of time, of these privileges may often be an appropriate sanction G) (May be categorized and charged in terms of greater severity, according to the nature of the unauthorized use; e.g., the mail is used for planning, facilitating, committing an armed assault on the institution's secure perimeter, would be charged as Code 101, Assault)	

[TABLE 3 (Cont'd)
 LOW MODERATE CATEGORY (Cont'd)]

CODE	PROHIBITED ACTS	SANCTIONS	
		J.	Loss of job.
497	Use of the telephone for abuses other than criminal activity (e.g., exceeding the 15-minute time limit for telephone calls; using the telephone in an unauthorized area; placing of an unauthorized individual on the telephone list).	K.	Impound inmate's personal property.
		L.	Confiscate contraband.
		M.	Restrict to quarters.
	*	N.	Extra duty.
498	Interfering with a staff member in the performance of duties. <u>Conduct must be of the Low Moderate Severity nature.</u> This charge is to be used only when another charge of low moderate severity is not applicable.	O.	Reprimand.
		P.	Warning.
499	Conduct which disrupts or interferes with the security or orderly running of the institution or the Bureau of Prisons. <u>Conduct must be of the Low Moderate severity nature.</u> This charge is to be used only when another charge of low moderate severity is not applicable.		

NOTE: Aiding another person to commit any of these offenses, attempting to commit any of these offenses, and making plans to commit any of these offenses, in all categories of severity, shall be considered the same as a commission of the offenses itself.]

When the prohibited act is interfering with a staff member in the performance of duties (Code 198, 298, 398, or 498), or Conduct Which Disrupts (Code 199, 299, 399, or 499), the DHO or UDC, in its findings, should indicate a specific finding of the severity level of the conduct, and a comparison to an offense (or offenses) in that severity level which the DHO or UDC finds is most comparable.

Example: "We find the act of _____ to be of High severity, most comparable to prohibited act Engaging in a Group Demonstration."

Sanction B.1 may be imposed on the Low Moderate category **only** where the inmate has committed the same low moderate prohibited act more

than one time within a six-month period except for a VCCLEA inmate rated as violent or a PLRA inmate (See Chapter 4, Page 16).

APPENDIX G

Prohibited Acts and Disciplinary Severity Scale

10/11/2000

[TABLE 3 - PROHIBITED ACTS AND DISCIPLINARY SEVERITY SCALE
GREATEST CATEGORY

The UDC shall refer all Greatest Severity Prohibited Acts to the DHO with recommendations as to an appropriate disposition.

CODE	PROHIBITED ACTS	SANCTIONS
100	Killing	A. Recommend parole date rescission or retardation.
101	Assaulting any person (includes sexual assault) or an armed assault on the institution's secure perimeter (a charge for assaulting any person at this level is to be used only when serious physical injury has been attempted or carried out by an inmate)	B. Forfeit earned statutory good time or non-vested good conduct time (up to 100%) and/or terminate or disallow extra good time (an extra good time or good conduct time sanction may not be suspended).
102	Escape from escort; escape from a secure institution (low, medium, and high security level and administrative institutions); or escape from a minimum institution <u>with</u> violence	B.1 Disallow ordinarily between 50 and 75% (27-41 days) of good conduct time credit available for year (a good conduct time sanction may not be suspended).
103	Setting a fire (charged with this act in this category only when found to pose a threat to life or a threat of serious bodily harm or in furtherance of a prohibited act of Greatest Severity, e.g. in furtherance of a riot or escape; otherwise the charge is properly classified Code 218, or 329)	C. Disciplinary Transfer (recommend). D. Disciplinary segregation (up to 60 days). E. Make monetary restitution. F. Withhold statutory good time (Note - can be in addition to A through E - cannot be the only sanction executed). G. Loss of privileges (Note - can be in addition to A through E - cannot be the only sanction executed).]

[TABLE 3 (Cont'd)
GREATEST CATEGORY (Cont'd)

<u>CODE</u>	<u>PROHIBITED ACTS</u>	<u>SANCTIONS</u>
104	Possession, manufacture, or introduction of a gun, firearm, weapon, sharpened instrument, knife, dangerous chemical, explosive or any ammunition	Sanctions A-G]
105	Rioting	
106	Encouraging others to riot	
107	Taking hostage(s)	
108	Possession, manufacture, or introduction of a hazardous tool (Tools most likely to be used in an escape or escape attempt or to serve as weapons capable of doing serious bodily harm to others; or those hazardous to institutional security or personal safety; e.g., hack-saw blade)	
109	(Not to be used)	
110	Refusing to provide a urine sample or to take part in other drug-abuse testing	
111	Introduction of any narcotics, marijuana, drugs, or related paraphernalia not prescribed for the individual by the medical staff	
112	Use of any narcotics, marijuana, drugs, or related paraphernalia not prescribed for the individual by the medical staff	
113	Possession of any narcotics, marijuana, drugs, or related paraphernalia not prescribed for the individual by the medical staff	
197	Use of the telephone to further criminal activity.	

[TABLE 3 (Cont'd)
GREATEST CATEGORY (Cont'd)

<u>CODE</u>	<u>PROHIBITED ACTS</u>	<u>SANCTIONS</u>
198	Interfering with a staff member in the performance of duties. (<u>Conduct must be of the Greatest Severity nature.</u>) This charge is to be used only when another charge of greatest severity is not applicable.	Sanctions A-G]
199	Conduct which disrupts or interferes with the security or orderly running of the institution or the Bureau of Prisons. (<u>Conduct must be of the Greatest Severity nature.</u>) This charge is to be used only when another charge of greatest severity is not applicable.	

[TABLE 3 (Cont'd)
HIGH CATEGORY

CODE	PROHIBITED ACTS	SANCTIONS
200	Escape from unescorted Community Programs and activities and Open Institutions (minimum) and from outside secure institutions-- <u>without</u> violence.	A. Recommend parole date rescission or retardation.
201	Fighting with another person	B. Forfeit earned statutory good time or non-vested good conduct time up to 50% or up to 60 days, whichever is less, and/or terminate or disallow extra good time (an extra good time or good conduct time sanction may not be suspended)
202	(Note to be used)	
203	Threatening another with bodily harm or any other offense	
204	Extortion, blackmail, protection: Demanding or receiving money or anything of value in return for protection against others, to avoid bodily harm, or under threat of informing	B.1 Disallow ordinarily between 25 and 50% (14-27 days) of good conduct time credit available for year (a good conduct time sanction may not be suspended).
205	Engaging in sexual acts	
206	Making sexual proposals or threats to another	
207	Wearing a disguise or a mask	
208	Possession of any unauthorized locking device, or lock pick, or tampering with or blocking any lock device (includes keys), or destroying, altering, interfering with, improperly using, or damaging any security device, mechanism, or procedure	C. Disciplinary Transfer (recommend). D. Disciplinary segregation (up to 30 days). E. Make monetary restitution.
209	Adulteration of any food or drink	F. Withhold statutory good time]
210	(Not to be used)	
211	Possessing any officer's or staff clothing	

[TABLE 3 (Cont'd)
HIGH CATEGORY (Cont'd)]

CODE	PROHIBITED ACTS	SANCTIONS
212	Engaging in, or encouraging a group demonstration	G. Loss of privileges: commissary, movies, recreation, etc.
213	Encouraging others to refuse to work, or to participate in a work stoppage	H. Change housing (quarters)
214	(Not to be used)	
215	Introduction of alcohol into BOP facility	I. Remove from program and/or group activity
216	Giving or offering an official or staff member a bribe, or anything of value	J. Loss of job
217	Giving money to, or receiving money from, any person for purposes of introducing contraband or for any other illegal or prohibited purposes	K. Impound inmate's personal property L. Confiscate contraband M. Restrict to quarters]
218	Destroying, altering, or damaging government property, or the property of another person, having a value in excess of \$100.00 or destroying, altering, damaging life-safety devices (e.g., fire alarm) regardless of financial value	
219	Stealing (theft; this includes data obtained through the unauthorized use of a communications facility, or through the unauthorized access to disks, tapes, or computer printouts or other automated equipment on which data is stored.)	

[TABLE 3 (Cont'd)
HIGH CATEGORY (Cont'd)]

CODE	PROHIBITED ACTS	SANCTIONS
220	Demonstrating, practicing, or using martial arts, boxing (except for use of a punching bag), wrestling, or other forms of physical encounter, or military exercises or drill (except for drill authorized and conducted by staff)	Sanctions A-M]
221	Being in an unauthorized area with a person of the opposite sex without staff permission	
222	Making, possessing, or using intoxicants	
223	Refusing to breathe into a breathalyser or take part in other testing for use of alcohol	
224	Assaulting any person (charged with this act only when less serious physical injury or contact has been attempted or carried out by an inmate)	
297	Use of the telephone for abuses other than criminal activity (e.g., circumventing telephone monitoring procedures, possession and/or use of another inmate's PIN number; third-party calling; third-party billing; using credit card numbers to place telephone calls; conference calling; talking in code).	
298	Interfering with a staff member in the performance of duties. (<u>Conduct must be of the High Severity nature.</u>) This charge is to be used only when another charge of the high severity is not applicable.	
299	Conduct which disrupts or interferes with the security or orderly running of the institution or the Bureau of Prisons. (<u>Conduct must be of the High Severity nature.</u>) This charge is to be used only when another charge of high severity is not applicable.	

[TABLE 3 (Cont'd)
MODERATE CATEGORY

<u>CODE</u>	<u>PROHIBITED ACTS</u>	<u>SANCTIONS</u>
300	Indecent Exposure	A. Recommend parole date rescission or retardation.
301	(Not to be used)	
302	Misuse of authorized medication	B. Forfeit earned statutory good time or non-vested good conduct time up to 25% or up to 30 days, whichever is less, and/or terminate or disallow extra good time (an extra good time or good conduct time sanction may not be suspended).
303	Possession of money or currency, unless specifically authorized, or in excess of the amount authorized	
304	Loaning of property or anything of value for profit or increased return	
305	Possession of anything not authorized for retention or receipt by the inmate, and not issued to him through regular channels	B.1 Disallow ordinarily up to 25% (1-14 days) of good conduct time credit available for year (a good conduct time sanction may not be suspended).
306	Refusing to work, or to accept a program assignment	
307	Refusing to obey an order of any staff member (May be categorized and charged in terms of greater severity, according to the nature of the order being disobeyed; e.g., failure to obey an order which furthers a riot would be charged as 105, Rioting; refusing to obey an order which furthers a fight would be charged as 201, Fighting; refusing to provide a urine sample when ordered would be charged as Code 110)	C. Disciplinary Transfer (recommend). D. Disciplinary segregation (up to 15 days). E. Make monetary restitution. F. Withhold statutory good time.]
308	Violating a condition of a furlough	
309	Violating a condition of a community program	
310	Unexcused absence from work or any assignment	
311	Failing to perform work as instructed by the supervisor	
312	Insolence towards a staff member	

[TABLE 3 (Cont'd)
 MODERATE CATEGORY (Cont'd)]

CODE	PROHIBITED ACTS	SANCTIONS
313	Lying or providing a false statement to a staff member.	G. Loss of privileges: commissary, movies, recreation, etc.
314	Counterfeiting, forging or unauthorized reproduction of any document, article of identification, money, security, or official paper. (May be categorized in terms of greater severity according to the nature of the item being reproduced; e.g., counterfeiting release papers to effect escape, Code 102 or Code 200)	H. Change housing (quarters). I. Remove from program and/or group activity. J. Loss of job.
315	Participating in an unauthorized meeting or gathering	K. Impound inmate's personal property.
316	Being in an unauthorized area	L. Confiscate contraband.
317	Failure to follow safety or sanitation regulations	M. Restrict to quarters. N. Extra duty.]
318	Using any equipment or machinery which is not specifically authorized	
319	Using any equipment or machinery contrary to instructions or posted safety standards	
320	Failing to stand count	
321	Interfering with the taking of count	
322	(Not to be used)	
323	(Not to be used)	
324	Gambling	
325	Preparing or conducting a gambling pool	
326	Possession of gambling paraphernalia	
327	Unauthorized contacts with the public	
328	Giving money or anything of value to, or accepting money or anything of value from: another inmate, or any other person without staff authorization	

[TABLE 3 (Cont'd)]
MODERATE CATEGORY (Cont'd)

<u>CODE</u>	<u>PROHIBITED ACTS</u>	<u>SANCTIONS</u>
329	Destroying, altering or damaging government property, or the property of another person, having a value of \$100.00 or less	Sanctions A-N]
330	Being unsanitary or untidy; failing to keep one's person and one's quarters in accordance with posted standards	
331	Possession, manufacture, or introduction of a non-hazardous tool or other non-hazardous contraband (Tool not likely to be used in an escape or escape attempt, or to serve as a weapon capable of doing serious bodily harm to others, or not hazardous to institutional security or personal safety; Other non-hazardous contraband includes such items as food or cosmetics)	
332	Smoking where prohibited	
397	Use of the telephone for abuses other than criminal activity (e.g., conference calling, possession and/or use of another inmate's PIN number, three-way calling, providing false information for preparation of a telephone list).	
398	Interfering with a staff member in the performance of duties. (<u>Conduct must be of the Moderate Severity nature.</u>) This charge is to be used only when another charge of moderate severity is not applicable.	
399	Conduct which disrupts or interferes with the security or orderly running of the institution or the Bureau of Prisons. (<u>Conduct must be of the Moderate Severity nature</u>). This charge is to be used only when another charge of moderate severity is not applicable.	

[TABLE 3 (Cont'd)
LOW MODERATE CATEGORY

<u>CODE</u>	<u>PROHIBITED ACTS</u>	<u>SANCTIONS</u>
400	Possession of property belonging to another person	B.1 Disallow ordinarily up to 12.5% (1-7 days) of good conduct time credit available for year (to be used only where inmate found to have committed a second violation of the same prohibited act within 6 months); Disallow ordinarily up to 25% (1-14 days) of good conduct time credit available for year (to be used only where inmate found to have committed a third violation of the same prohibited act within 6 months) (a good conduct time sanction may not be suspended).] (See Chapter 4 Page 16 for VCCLEA violent and PLRA inmates.)
401	Possessing unauthorized amount of otherwise authorized clothing	
402	Malingering, feigning illness	
403	Not to be used	
404	Using abusive or obscene language	
405	Tattooing or self-mutilation	
* 406	Not to be Used *	
407	Conduct with a visitor in violation of Bureau regulations (Restriction, or loss for a specific period of time, of these privileges may often be an appropriate sanction G)	
408	Conducting a business	
409	Unauthorized physical contact (e.g., kissing, embracing)	[E. Make monetary restitution.
* 410	Unauthorized use of mail (Restriction, or loss for a specific period of time, of these privileges may often be an appropriate sanction G) (May be categorized and charged in terms of greater severity, according to the nature of the unauthorized use; e.g., the mail is used for planning, facilitating, committing an armed assault on the institution's secure perimeter, would be charged as Code 101, Assault)	F. Withhold statutory good time. G. Loss of privileges: commissary, movies, recreation, etc. H. Change housing (quarters). I. Remove from program and/or group activity.]

[TABLE 3 (Cont'd)
 LOW MODERATE CATEGORY (Cont'd)]

CODE	PROHIBITED ACTS	SANCTIONS
497	Use of the telephone for abuses other than criminal activity (e.g., exceeding the 15-minute time limit for telephone calls; using the telephone in an unauthorized area; placing of an unauthorized individual on the telephone list). *	J. Loss of job. K. Impound inmate's personal property. L. Confiscate contraband. M. Restrict to quarters.
498	Interfering with a staff member in the performance of duties. <u>Conduct must be of the Low Moderate Severity nature.</u>) This charge is to be used only when another charge of low moderate severity is not applicable.	N. Extra duty. O. Reprimand. P. Warning.
499	Conduct which disrupts or interferes with the security or orderly running of the institution or the Bureau of Prisons. <u>(Conduct must be of the Low Moderate severity nature.)</u> This charge is to be used only when another charge of low moderate severity is not applicable.	

NOTE: Aiding another person to commit any of these offenses, attempting to commit any of these offenses, and making plans to commit any of these offenses, in all categories of severity, shall be considered the same as a commission of the offenses itself.]

When the prohibited act is interfering with a staff member in the performance of duties (Code 198, 298, 398, or 498), or Conduct Which Disrupts (Code 199, 299, 399, or 499), the DHO or UDC, in its findings, should indicate a specific finding of the severity level of the conduct, and a comparison to an offense (or offenses) in that severity level which the DHO or UDC finds is most comparable.

Example: "We find the act of _____ to be of High severity, most comparable to prohibited act Engaging in a Group Demonstration."

Sanction B.1 may be imposed on the Low Moderate category **only** where the inmate has committed the same low moderate prohibited act more than one time within a six-month period except for a VCCLEA inmate rated as violent or a PLRA inmate (See Chapter 4, Page 16).

APPENDIX H

Incident Report

AUG 11

U.S. DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF PRISONS

Part I - Incident Report

1. Institution:			
2. Inmate's Name	3. Register Number	4. Date of Incident	5. Time
6. Place of Incident	7. Assignment	8. Unit	
9. Incident		10. Prohibited Act Code(s)	
11. Description Of Incident (Date: _____ Time: _____ Staff became aware of incident)			
12. Typed Name/Signature of Reporting Employee			13. Date And Time
14. Incident Report Delivered (Type Name/Signature)	to Above Inmate By	15. Date Incident Report Delivered	16. Time Incident Report Delivered

Part II - Committee Action

17. Comments of Inmate to Committee Regarding Above Incident

18. A. It is the finding of the committee that you:	B. _____ The Committee is referring the Charge(s) to the DHO for further Hearing.
_____ Committed the Prohibited Act as charged.	C. _____ The Committee advised the inmate of its finding and of the right to file an appeal within 20 calendar days.
_____ Did not Commit a Prohibited Act.	
_____ Committed Prohibited Act Code(s) _____	

19. Committee Decision is Based on Specific Evidence as Follows:

20. Committee action and/or recommendation if referred to DHO (Contingent upon DHO finding inmate committed prohibited act)

21. Date And Time Of Action _____ (The UDC Chairman's signature certifies who sat on the UDC and that the completed report accurately reflects the UDC proceedings.)

_____ Chairman (Typed Name/Signature) _____ Member (Typed Name) _____ Member (Typed Name)

INSTRUCTIONS: All items outside heavy rule are for staff use only. Begin entries with the number 1 and work up. Entries not completed will be voided by staff.

DISTRIBUTE: ORIGINAL-Central File record; COPY-1- DHO; COPY-2- Inmate After UDC Action; COPY-3- Inmate within 24 hours of Part I Preparation.

Part III - Investigation

22. Date And Time Investigation Began _____

23. Inmate Advised Of Right To Remain Silent: You are advised of your right to remain silent at all stages of the discipline process. Your silence may be used to draw an adverse inference against you at any stage of the discipline process. Your silence alone may not be used to support a finding that you have committed a prohibited act.

The Inmate Was Advised Of The Above Right By _____ At (Date/time) _____

24. Inmate statement and attitude

25. Other facts about the incident, statements of those persons present at scene, disposition of evidence, etc.

26. Investigator's comments and conclusions

27. Action taken

Date and Time Investigation Completed _____

Printed Name/Signature Of Investigator _____ Title _____

APPENDIX I

Discipline Hearing Report

AUG 11

U.S. DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF PRISONS

Institution:		Incident Report Number:	
NAME OF INMATE:		REG.NO.:	UNIT:
Date of Incident Report:		Offense Code:	
Date of Incident:			
Summary of Charges:			

I. NOTICE OF CHARGE(S)

A. Advanced written notice of charge (copy of Incident Report) was given to inmate on (date) _____ at (time) _____ (by staff member) _____

B. The DHO Hearing was held on (date) _____ at (time) _____

C. The inmate was advised of the rights before the DHO by (staff member): _____ on (date) _____ and a copy of the advisement of rights form is attached.

II STAFF REPRESENTATIVE

A. Inmate waived right to staff representative. Yes ____ No ____

B. Inmate requested staff representative and _____ appeared.

C. Requested staff representative declined or could not appear but inmate was advised of option to postpone hearing to obtain another staff representative with the result that: _____

D. Staff representative _____

was appointed _____

VI. SANCTION OR ACTION TAKEN

Offense Severity _____
SGT Available _____

VII. REASON FOR SANCTION OR ACTION TAKEN

VIII. APPEAL RIGHTS: _____ The inmate has been advised of the findings, specific evidence relied on, action and reasons for the action. The inmate has been advised of his right to appeal this action within 20 calendar days under the Administrative Remedy Procedure. A copy of this report has been given to the inmate.

IX Discipline Hearing Officer

Printed Name	Signature	Date

Delivered to Inmate: _____

APPENDIX J

Custody Classification

A. IDENTIFYING DATA					
1. INSTITUTION CODE		2. UNIT		3. DATE	
4. NAME			5. REGISTER NUMBER		
6. MANAGEMENT VARIABLES		A - NONE B - JUDICIAL RECOMMENDATION D - RELEASE RESIDENCE/PLANNING E - POPULATION MANAGEMENT		G - CIMS I - MED/PSYCH TREATMENT N - PROGRAM PARTICIPATION M - WORK CADRE	
				S - PSF WAIVED U - LONG TERM DETAINEE V - GREATER SECURITY W - LESSER SECURITY	
7. PUBLIC SAFETY FACTORS		A - NONE B - DISRUPTIVE GROUP (males only) C - GREATEST SEVERITY OFFENSE (males only) F - SEX OFFENDER G - THREAT TO GOVERNMENT OFFICIALS H - DEPORTABLE ALIEN		I - SENTENCE LENGTH (males only) K - VIOLENT BEHAVIOR (females only) L - SERIOUS ESCAPE M - PRISON DISTURBANCE N - JUVENILE VIOLENCE O - SERIOUS TELEPHONE ABUSE	
B. BASE SCORING					
1. TYPE OF DETAINEER		0 = NONE 1 = LOWEST/LOW MODERATE		3 = MODERATE 5 = HIGH	
				7 = GREATEST	
2. SEVERITY OF CURRENT OFFENSE		0 = LOWEST 1 = LOW MODERATE		3 = MODERATE 5 = HIGH	
				7 = GREATEST	
3. MONTHS TO RELEASE _____					
4. CRIMINAL HISTORY SCORE		0 = 0-1 2 = 2-3		4 = 4-6 6 = 7-9	
				8 = 10-12 10 = 13 +	
5. HISTORY OF ESCAPE OR ATTEMPTS		NONE MINOR SERIOUS		>15 YEARS 10-15 YEARS 5-10 YEARS <5 YEARS	
		0 0 0		1 1 3(s)	
				1 1 3(s)	
				2 3 3(s)	
				3 5 3(s)	
6. History of Violence		NONE MINOR SERIOUS		>15 YEARS 10-15 YEARS 5-10 YEARS <5 YEARS	
		0 0 0		1 1 2	
				1 4 6	
				3 5 7	
7. VOLUNTARY SURRENDER STATUS		0 = NOT APPLICABLE		(-3) = VOLUNTARY SURRENDER	
8. AGE		0 = 55 and over 2 = 36 through 54		4 = 25 through 35 8 = 24 or less	
9. EDUCATION LEVEL		0 = Verified High School Degree/GED 1 = Enrolled in and making satisfactory progress in GED Program 2 = No verified High School Degree/GED & not participating in GED Program			
10. DRUG/ALCOHOL ABUSE		0 = Never/>5 Years		1 = <5 Years	
11. BASE SCORE (ADD § B. ITEMS 1 - 10)					
C. CUSTODY SCORING					
1. PERCENTAGE OF TIME SERVED		3 = 0-25% 4 = 26-75%		5 = 76-90% 6 = 91+%	
2. PROGRAM PARTICIPATION		0 = POOR		1 = AVERAGE 2 = GOOD	
3. LIVING SKILLS		0 = POOR		1 = AVERAGE 2 = GOOD	
4. TYPE & NUMBER OF MOST SERIOUS INCIDENT RPT		0 = ANY GREAT (100) IN PAST 10 YRS 1 = > 1 HIGH (200) IN PAST 2 YRS 2(A) = 1 HIGH (200) IN PAST 2 YRS 2(B) = > 1 MOD (300) IN PAST YR		3(A) = 1 MOD (300) IN PAST YR 3(B) = >1 LOW MOD (400) IN PAST YR 4 = 1 LOW MOD (400) IN PAST YR 5 = NONE	
5. FREQUENCY OF INCIDENT REPORTS (IN PAST YEAR)		0 = 6+ 1 = 2 THRU 5		2 = ONE 3 = NONE	
6. FAMILY/COMMUNITY TIES		3 = NONE OR MINIMAL		4 = AVERAGE OR GOOD	
7. CUSTODY TOTAL (ADD § C. ITEMS 1 - 6)					
8. CUSTODY VARIANCE (FROM APPROPRIATE TABLE ON TABLE ON BP-338, PAGE 2)					
9. SECURITY TOTAL (ADD OR SUBTRACT CUSTODY VARIANCE (§ C.8) TO BASE SCORE (§ B.11))					
10. SCORED SECURITY LEVEL _____			11. MANAGEMENT SECURITY LEVEL _____		

D. INSTITUTION ACTION	
1. TYPE OF REVIEW: (EXCEPTION OR REGULAR)	
2. CURRENT CUSTODY: (MAXIMUM, IN, OUT, COMMUNITY)	
3. NEW CUSTODY: (MAXIMUM, IN OUT, COMMUNITY)	
4. ACTION: (APPROVE, DISAPPROVE)	
5. DATE OF NEXT REVIEW	
6. CHAIRPERSON	_____
	NAME AND SIGNATURE
7. EXCEPTION REVIEW	_____
	NAME (WARDEN OR DESIGNEE) AND SIGNATURE
8. SUMMARY OF FINAL ACTION	SECURITY LEVEL
	CUSTODY

CUSTODY CHANGE RECOMMENDATIONS BASED ON CUSTODY VARIANCE	
IF CUSTODY VARIANCE IS IN THE (+) RANGE	CONSIDER A CUSTODY INCREASE
IF CUSTODY VARIANCE IS IN THE (-) RANGE	CONSIDER A CUSTODY DECREASE
IF CUSTODY VARIANCE IS ZERO	CONTINUE PRESENT CUSTODY

MALE CUSTODY VARIANCE TABLE	CUSTODY																	
	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	
S 0-11	+4	4	+3	+3	+2	+1	+1	+1	0	0	-1	-1	-2	-3	-4	-5	-5	
B C 12-15	+4	+4	+3	+3	+2	+1	+1	+1	0	0	0	-1	-2	-3	-4	-5	-5	
A O 16-23	+8	+6	+5	+4	+4	+3	+2	+1	+1	0	0	0	-1	-1	-2	-2	-3	
S R 24 +	+8	+6	+5	+4	+4	+3	+2	+1	+1	+1	0	0	0	-1	-1	-2	-3	

FEMALE CUSTODY VARIANCE TABLE	CUSTODY																	
	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	
S 0-15	+15	+11	+7	+4	+3	+2	+1	+1	0	0	0	0	-2	-4	-8	-12	-16	
B C 16-30	+15	+11	+7	+4	+3	+2	+1	+1	0	0	0	0	0	-4	-8	-12	-16	
A O 31 +	+15	+11	+7	+4	+3	+2	+1	+1	0	0	0	0	0	0	-1	-5	-8	

FILE IN SECTION 2 UNLESS APPROPRIATE FOR PRIVACY FOLDER

SECTION 2

APPENDIX K

PIDF

PIDF

BOPRC * INMATE FINANCIAL RESPONSIBILITY * 07-08-2014
 PAGE 002 * DISPLAY INMATE FINANCIAL DATA * 11:58:47

REGNO: [REDACTED] NAME: [REDACTED]
 FRP ASGN: COMPLT DATE OF MOST RECENT PAYMENT: 03-13-2012

-----MOST RECENT PLAN INFORMATION-----
 NUMBER: 3 DATE ADDED: 06-30-2011 BY: BUT
 STATUS: STOPPED REASON STOPPED: DCSNS STPD

-----MOST RECENT PAYMENT PLAN-----
 INMATE DECISION: AGREED 100.00 MONTHLY TRUST FUND
 ANTICIPATED START: 07-2011 ACTUAL START: 07-2011
 REASON DECISION STOPPED: NOT PYABLE
 FOR THE FOLLOWING OBLIGATIONS:

1

G0002 MORE PAGES TO FOLLOW . . .

PIDF

BOPRC *
PAGE 003 OF 003 *

INMATE FINANCIAL RESPONSIBILITY
DISPLAY INMATE FINANCIAL DATA

* 07-08-2014
* 11:58:47

REGNO: [REDACTED]

NAME: [REDACTED]

-----ALL FINANCIAL OBLIGATIONS-----

OBLIGATION NBR TYPE	AMOUNT IMPOSED	BALANCE	PAYABLE	STATUS
1 ASSMT	1100.00	0.00	IMMEDIATE	COMPLETEDZ

G0000

TRANSACTION SUCCESSFULLY COMPLETED